



Windows Kernel Rootkit Techniques Preparation

Please familiarize yourself with the topics, data structures, debugger commands, and kernel APIs listed in this document to prepare yourself for the upcoming class. You should find a reasonable amount of information about these topics in the Windows Internals books and on the Internet. For any questions regarding this document please email codemachine@outlook.com.

| Strings | |
|-------------------|--|
| Topics | ASCII, widechar and Unicode strings |
| Data Structures | nt!_UNICODE_STRING |
| Debugger Commands | dS |
| Kernel APIs | RTL_CONSTANT_STRING() RtlInitUnicodeString() RtlDuplicateUnicodeString() RtlFreeUnicodeString() RtlEqualUnicodeString() FsRtlIsNameInExpression() RtlStringCbPrintfA() |

| Asynchronous Procedure Calls (APC) | |
|------------------------------------|---|
| Topics | User mode APCs, alertable wait state, kernel mode APCs, critical regions, IRQL == APC_LEVEL, process attachment |
| Data Structures | nt!_KAPC |
| Debugger Commands | !apc |
| Kernel APIs | KeInitializeApc() KeInsertQueueApc() KeStackAttachProcess() KeUnstackDetachProcess() |

| Work Items | |
|-----------------|---|
| Topics | Executive worker threads, work items, work queues, worker routines. |
| Data Structures | nt!_WORK_QUEUE_ITEM |

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| Debugger Commands | !exquque (does not work on Windows 10) |
| Kernel APIs | ExInitializeWorkItem() ExQueueWorkItem() |

| Processes and Threads | |
|-----------------------|---|
| Topics | Process and Thread IDs, Process and Thread Handles |
| Data Structures | nt!_EPROCESS, nt!_KPROCESS, nt!_ETHREAD, nt!_KTHREAD |
| Debugger Commands | !process, !thread, .process, .thread |
| Kernel APIs | PsGetCurrentProcessId() PsGetCurrentThreadId() PsLookupThreadByThreadId() PsLookupProcessByProcessId() PsSuspendProcess() PsResumeProcess() PsGetProcessImageFileName() SeLocateProcessImageName() |

| I/O Manager Objects | |
|---------------------|--|
| Topics | Driver Object, Device Object, File Object, Symbolic Link, Device Stacks, Filter Drivers, Object namespace for driver objects (\Driver) and device objects (\Device). Driver entry points - DriverEntry and DriverUnload. |
| Data Structures | nt!_DEVICE_OBJECT, nt!_DRIVER_OBJECT, nt!_FILE_OBJECT |
| Debugger Commands | !drvobj, !devobj, !devstack, !fileobj |
| Kernel APIs | IoCreateDevice() IoDeleteDevice() IoCreateSymbolicLink() IoDeleteSymbolicLink() IoAttachDevice() IoDetachDevice() |

| IRPs and I/O Stack Locations | |
|------------------------------|--|
| Topics | Dispatch Entry Points, Win32 I/O APIs to IRP major (IRP_MJ_XXX) function mapping. Device I/O Control, Buffering Methods - METHOD_BUFFERED, METHOD_IN_DIRECT, METHOD_OUT_DIRECT, METHOD_NEITHER |
| Data Structures | nt!_IRP, nt!_IO_STACK_LOCATION |
| Debugger Commands | !irp, !irpfind, !ioctldcode |

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|-------------|---|
| Kernel APIs | IoGetCurrentIrpStackLocation() IoCopyCurrentIrpStackLocationToNext() IoSkipCurrentIrpStackLocation() IoSetCompletionRoutine() IoMarkIrpPending() IoCompleteRequest() |
|-------------|---|

| Objects | |
|-------------------|--|
| Topics | Object Manager, Object Namespace, Objects and Handles, Object Header, Object Type, Object Reference Counting |
| Data Structures | nt!_OBJECT_HEADER, nt!_OBJECT_TYPE, nt!_HANDLE_TABLE_ENTRY |
| Debugger Commands | !object, !handle, !trueref |
| Kernel APIs | InitializeObjectAttributes() ObReferenceObjectByName() ObReferenceObjectByHandle() ObReferenceObject() ObDereferenceObject() |

| Memory Management and Pools | |
|-----------------------------|--|
| Topics | Pool Types, NonPagedPool, Non Paged Pool Non-Executable, pool Tagging, Memory Descriptor Lists (MDL), |
| Data Structures | nt!_POOL_HEADER, nt!_MDL |
| Debugger Commands | !pool, !poolfind |
| Kernel APIs | ExAllocatePoolWithTag() ExFreePool() IoAllocateMdl() MmBuildMdlForNonPagedPool() MmGetMdlPfnArray() IoFreeMdl() |

| Doubly Linked Lists | |
|---------------------|--|
| Topics | Doubly linked lists, list head and list nodes |
| Data Structures | nt!_LIST_ENTRY |
| Debugger Commands | dt -l, !list |
| Kernel APIs | InitializeListHead() InsertTailList() RemoveHeadList() |

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| | RemoveEntryList() IsListEmpty() |
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| Synchronization | |
|-------------------|---|
| Topics | Event, Fast Mutexes, ERESOURCES, Spin Locks |
| Data Structures | nt!_KEVENT nt!_FAST_MUTEX nt!_ERESOURCE |
| Debugger Commands | !locks |
| Kernel APIs | KeInitializeEvent() KeClearEvent() KeSetEvent() ExInitializeResourceLite() ExEnterCriticalRegionAndAcquireResourceExclusive() ExReleaseResourceAndLeaveCriticalRegion() ExDeleteResourceLite() KeInitializeSpinLock() KeAcquireSpinLock() KeReleaseSpinLock() ExInitializeFastMutex() ExAcquireFastMutex() ExReleaseFastMutex() |

| Token | |
|-------------------|--|
| Topics | Tokens, Privileges |
| Data Structures | nt!_TOKEN nt!_EX_FAST_REF nt!_SEP_TOKEN_PRIVILEGES |
| Debugger Commands | !token |
| Kernel APIs | SeCaptureSubjectContext() SeQuerySubjectContextToken() SeQueryInformationToken() SeTokenIsAdmin() |

| PE Files | |
|-----------------|--|
| Topics | Static and Dynamically Linking of Kernel Functions, Loaded Module List, PE File Headers, Load Config Directory, Import Address Table, Export Table |
| Data Structures | nt!_IMAGE_DOS_HEADER, nt!_IMAGE_NT_HEADERS64, nt!_IMAGE_FILE_HEADER, nt!_IMAGE_OPTIONAL_HEADER64, |

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|-------------------|--|
| | nt!_IMAGE_RUNTIME_FUNCTION_ENTRY, nt!_KLDR_DATA_TABLE_ENTRY, nt!_IMAGE_DATA_DIRECTORY |
| Debugger Commands | !dh |
| Kernel APIs | RtlQueryModuleInformation() RtlImageNtHeader RtlImageDirectoryEntryToData() MmGetSystemRoutineAddress() |

| PnP Notifications | |
|-------------------|---|
| Topics | Device Arrival and Removal Notifications, Device Instance IDs, Symbolic Links |
| Data Structures | DEVICE_INTERFACE_CHANGE_NOTIFICATION |
| Debugger Commands | !pnpevent |
| Kernel APIs | IoRegisterPlugPlayNotification() IoUnregisterPlugPlayNotificationEx() |

In addition, please review the following articles at codemachine.com

[Catalog of key Windows kernel data structures](#)

[X64 Deep Dive](#)

[Windows 7 Object Headers](#)

[NDIS 6 Net Buffer Lists and Net Buffers](#)

[Ten useful kernel APIs](#)