

# NIST Interagency Report NIST IR 8401

## Satellite Ground Segment

*Applying the Cybersecurity Framework  
to Satellite Command and Control*

Suzanne Lightman  
Theresa Suloway  
Joseph Brule

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U.S. Department of Commerce  
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Satellite Ground Segment: Applying the CSF  
to Satellite Command and Control

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## **Abstract**

Space operations are increasingly important to the national and economic security of the United States. Commercial space's contribution to the critical infrastructure is growing in both volume and diversity of services as illustrated by the increased use of commercial communications satellite (COMSAT) bandwidth, purchase of commercial imagery, and the hosting of government payloads on commercial satellites. The U.S. government recognizes and supports space resilience as illustrated by numerous space policies, executive orders, and the National Cyber Strategy. The space cyber-ecosystem is an inherently risky, high-cost, and often inaccessible environment consisting of distinct yet interdependent segments. This report applies the NIST Cybersecurity Framework to the ground segment of space operations with an emphasis on the command and control of satellite buses and payloads.

## **Keywords**

control; critical infrastructure; Cybersecurity Framework; ground segment; risk management; space operations; telemetry; tracking.

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## Executive Summary

As stated in the September 2018 United States National Cyber Strategy, the U.S. Government (USG) considers unfettered access and freedom to operate in space vital to the advancement of the security, economic prosperity, and scientific knowledge of the nation, and is concerned about the growing cyber-related threats to space assets and their supporting infrastructure. [\[NCS-2018\]](#) The USG issued Space Policy Directive 5 (SPD-5) in 2020 which establishes key cybersecurity principles to guide and serve as the foundation for America's approach to the cyber protection of space systems. SPD-5 also fosters practices within the USG and commercial space operations that protect space assets and their supporting infrastructure from cyber threats. [\[SPD-5\]](#)

The intent of this document is to introduce the Cybersecurity Framework by applying it to create a Profile for the space sector's ground segment. The Profile provides a flexible framework for stakeholders to manage risks. Organizations are encouraged to make their risk management decisions in the context of their own cyber ecosystem, architecture, and risk tolerance. The goal of the profile is to supplement preexisting resilience measures and elevate the postures of less mature initiatives.

The Profile defined in this report helps address SPD-5's goals for securing space. It directly supports key principles such as developing and implementing cybersecurity plans to ensure space systems' ability to verify the integrity, confidentiality, and availability of critical functions as well as retain or recover positive control of space vehicles.

The ground segment profile is voluntary and does not issue regulations, define mandatory practices, provide a checklist for compliance, or carry statutory authority. It is intended to be a foundational set of guidelines.

## 1. Introduction

Space is an increasingly important element of the Nation's critical infrastructure. A loss or degradation of space services could significantly impact the security and economic well-being of the United States. The United States Government (USG) recognizes that government-owned space operations can be augmented through activities such as the leasing of commercial communications satellite (COMSAT) bandwidth, commercial space-based telecommunication services, the purchase of commercial imagery, and the use of commercial satellite buses to host payloads and other capabilities.

To protect this sector, the National Institute of Standards and Technology (NIST) has developed this Profile under the Cybersecurity Framework to assist the operators of the commercial ground segment of the space sector in providing cybersecurity for their systems. The NIST Cybersecurity Framework [\[NIST-CSE\]](#) provides a means for stakeholders to assess their cybersecurity posture in terms of identification, protection, detection, response, and recovery operations and to derive a plan to elevate risk posture.

***The scope of this document is the operational phase of the commercial space ground segment.*** Though the scope is defined as the ground segment, it is acknowledged that the cybersecurity requirements of the space segment may impact the ground segment. Space vehicles have severe size, weight, and power (SWaP) constraints, and due to these limitations, it may be impractical to implement some cybersecurity controls on the satellite itself. Consideration of measures to enable the ground segment to improve the security posture on behalf of the space vehicles is warranted. Stakeholders are referred to other documents for further guidance on securing the space vehicle [\[NIST-IR8270\]](#) and user [\[NIST-IR8323\]](#) segments.

### 1.1. Purpose and Objectives

The Satellite Ground Segment Cybersecurity Profile (herein referred to as the Profile) is designed to be used as part of a risk management program to help organizations manage cybersecurity risks to systems, networks, and assets that comprise the ground segment of satellite operations. The Profile provides guidance for:

- Classifying systems, processes, and components of satellite command, control, and payload systems in order to determine cybersecurity risk posture and address the residual risk in the management and control of the space segment;
- Defining a desired cybersecurity state for the systems, processes, and components of satellite command, control, and payload systems; and
- Establishing defined and repeatable risk management approaches to elevate an actual cybersecurity state to a desired cybersecurity state.

The Profile does not serve as a compliance checklist nor does this document define the specific requirements that guarantee an acceptable level of residual risk for the operational systems.

Use of the Profile will help organizations:

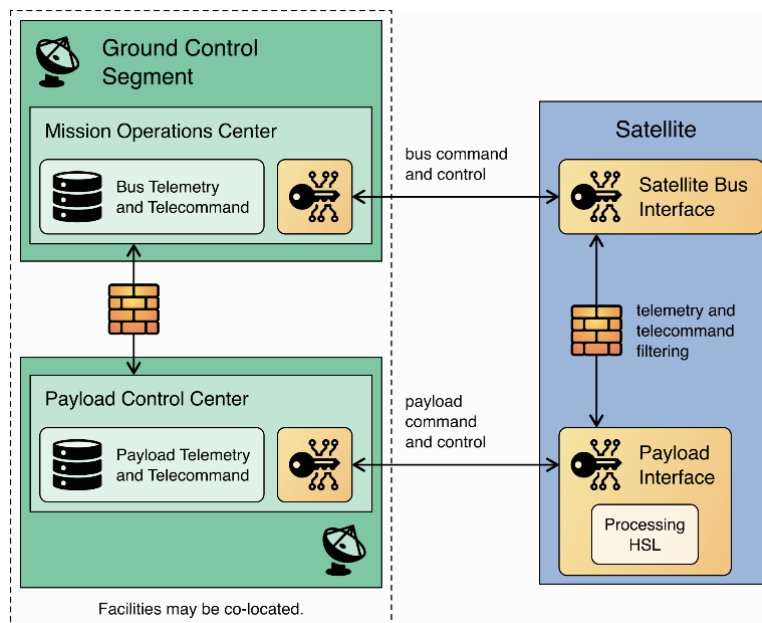
- identify their systems and processes that enable command and control of space vehicle buses and payloads, and determine performance requirements;

- identify known and anticipated threats to the satellite ground segment and supporting infrastructure;
- protect the systems that the ground segment relies on through policy, training, resilience, and access control;
- detect a loss of ground segments' confidentiality, integrity, or availability;
- respond to confidentiality breaches of Telemetry, Tracking, and Command (TT&C) and a manipulation or loss of satellite commands or telemetry in a timely, effective, and resilient manner; and
- recover from anomalies in a timely, effective, and resilient manner.

## 1.2. Scope

The baseline profile focuses on two components of the satellite ground segment, as depicted on the left side of Figure 1:

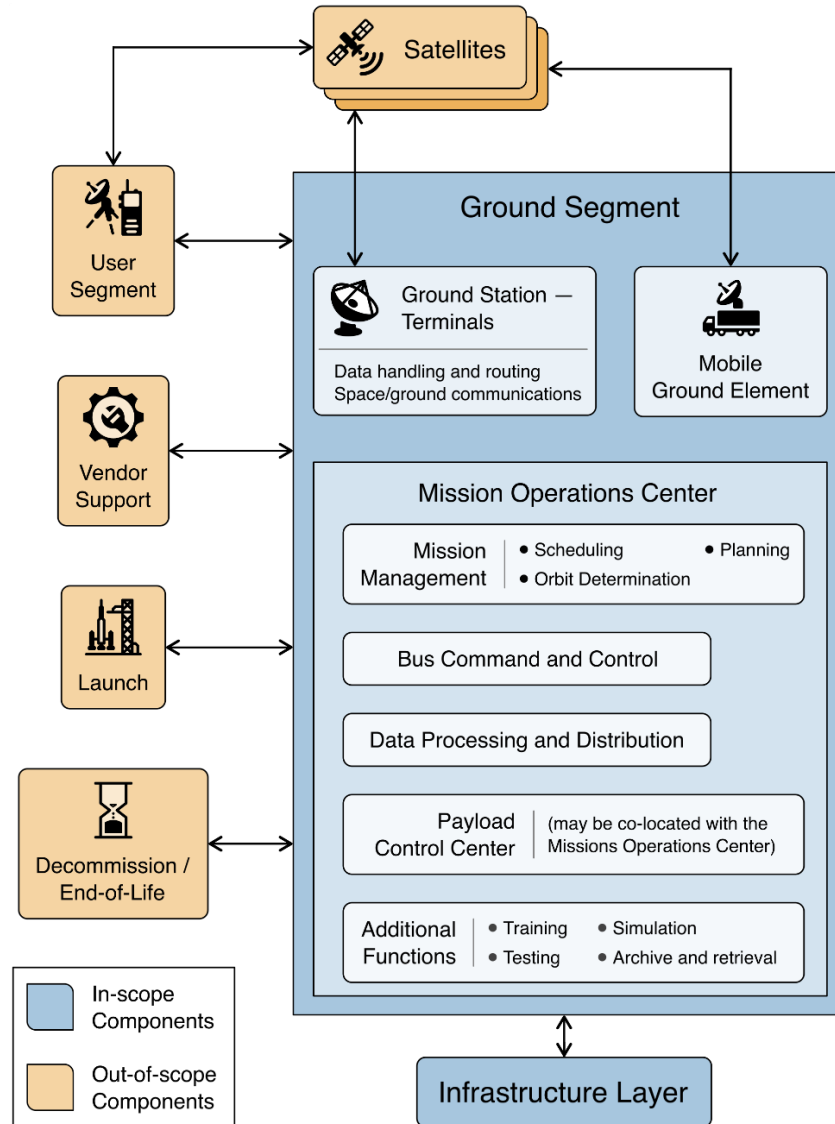
- the Mission Operations Center (MOC) that issues commands to a satellite control data handling platform and receives telemetry from a space vehicle's bus; and
- Payload Control Centers (PCC) that may issue commands to and receive responses from payloads that are hosted on a different organization's bus (i.e., the payload is residing on a space vehicle where the space vehicle bus operations are executed by an independent MOC).<sup>1</sup> (Note that Figure 1 is a simplified diagram. There may be multiple payloads on the spacecraft corresponding to multiple PCCs or there may be multiple PCCs interfacing with a single MOC)



**Fig. 1.** Satellite Ground Segment Components of Commercial Space Operations

<sup>1</sup> A payload may have an independent PCC with the ability to issue commands and receive telemetry via a dedicated radio frequency (RF) link, or the payload may receive commands and send telemetry to the PCC by routing through the satellite bus and the MOC.

The Profile’s scope includes any system, network, or capability that interacts with a satellite bus or payload for purposes of Querying, Commanding, Control and Status (C&S), or Command and Control (C2). Figure 2 shows which components are in and out of scope for the Profile. Out of scope items are assessed and managed separately as they may have different needs and impacts.



**Fig. 2.** Components In and Out of Scope for the Profile

The Profile’s scope does not include the space vehicle itself or the user segment of the space system.

The Profile will support the stakeholder’s ability to:

- make risk-informed decisions about the cybersecurity of the ground segment and its corresponding impact to the space segment’s bus and payload;
- select risk-based approaches that minimize the potential effects of the disruption or manipulation of satellite bus and payload commanding and telemetry; and

- consider planning and action regarding the secure management and recovery of the space segment.

### 1.3. Audience

The intended audience includes public and private organizations that own, operate, or manage space systems and are seeking to assess or elevate their current security posture, such as:

- risk managers, cybersecurity professionals, and others with a role in risk management for ground systems; and
- researchers and analysts who study space systems and the unique cybersecurity needs of the space cyber-ecosystem.

The Profile is suitable for a range of stakeholders with varying degrees of risk management experience, including organizations with the following characteristics:

- have already adopted the NIST Cybersecurity Framework to help identify, assess, and manage cybersecurity risks [\[NIST-CSF\]](#);
- are familiar with the Cybersecurity Framework and want to improve their risk posture; or
- are unfamiliar with the Cybersecurity Framework but need to implement or augment risk management efforts.

## 2. Intended Use

The Profile is a flexible tool that an organization can use as a part of its risk management effort. This Profile is intended to augment, rather than replace, such efforts.

The Profile will aid in the prioritization of cybersecurity activities based on business objectives and identify areas where standards, practices, and other guidance could help manage risks. NIST also encourages the development of organization-specific profiles by applying this profile to a particular mission or cyber-ecosystem. Considerations for specific profiles include:

1. What ground segment processes and assets are dependent on other assets (i.e., what are the externalities and secondary effects)?
2. What is the level of interconnectivity (logical and physical) of the ground segment with other processes?
3. What processes and assets are vulnerable?
4. What are the integrity and availability thresholds to avoid mission impact?
5. What are the confidentiality requirements?
6. What safeguards are currently in place?
7. What is the impact to the organization should a process or asset be lost or degraded?
8. What techniques can be used to detect events of concern?
9. What techniques can be used to respond to events of concern?
10. What techniques can be used to recover to pre-event capabilities?
11. What techniques can be leveraged to measure effectiveness of implemented policies and methodologies to iteratively revise security measures?

### 3. Overview

#### 3.1. Risk Management Overview

*Risk management* is the ongoing process of identifying, assessing, and responding to risk as related to an organization’s mission objectives. To manage risk, organizations should understand any potential impact as well as the likelihood that an event will occur. An organization should also consider statutory and policy requirements that may influence or inform cybersecurity decisions.

The Profile provides a flexible approach for stakeholders to manage risks when interfacing with the satellite bus or payload regardless of the source of the risk, including natural events, malicious actions, and human activities that have unintended consequences. It also provides a starting point from which organizations can customize their risk management approach.

The Profile is intended to be used in conjunction with existing risk management processes to provide additional risk management considerations. Examples of cybersecurity risk management processes include International Organization for Standardization (ISO) 31000:2018, ISO/International Electrotechnical Commission (IEC) 27005, NIST Special Publication (SP) 800-39 [[NIST-SP800-39](#)], and NASA Risk Management Handbook [[NASA-SP-2011](#)]. A list of additional resources is included in Appendix C.

#### 3.2. Cybersecurity Framework Overview

Created through collaboration between industry and government, the Cybersecurity Framework [[NIST-CSF](#)] provides prioritized, flexible, risk-based, and voluntary guidance based on existing standards, guidelines, and practices to help organizations better understand, manage, and communicate cybersecurity risks.

The Cybersecurity Framework consists of three main components:<sup>2</sup>

1. The *Framework Core* provides a catalog of desired cybersecurity activities and outcomes<sup>3</sup> using common language. The Core guides organizations in managing and reducing their cybersecurity risks in a way that complements an organization’s existing cybersecurity and risk management processes.
2. The *Framework Implementation Tiers* provide context for how an organization views cybersecurity risk management. The Tiers help organizations understand whether they have a functioning and repeatable cybersecurity risk management process and the extent to which cybersecurity risk management is integrated with broader organizational risk management decisions.
3. The *Framework Profiles* are customized to the outcomes of the Core to align with an organization’s requirements. Profiles are primarily used to identify and prioritize opportunities for improving cybersecurity at an organization.

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<sup>2</sup> Elements of the Cybersecurity Framework—including Core, Implementation Tiers, Profile, Function, Category, and Subcategory—are normally capitalized and will be capitalized throughout this document.

<sup>3</sup> The word “outcomes” is used because the Cybersecurity Framework focuses on the “what” rather than the “how.” In other words, the emphasis is on the cybersecurity outcomes that the organization wants to achieve rather than how they will achieve them. The Informative References provided in Section 4 help organizations with the “how.”

The Framework Core presents standards, guidelines, and practices within five concurrent and continuous Functions, which are described below:

1. **Identify** – Develop the organizational understanding to manage cybersecurity risk to systems, assets, data, and capabilities. The activities in the Identify Function are foundational to the effective use of the Cybersecurity Framework, enabling an organization to focus and prioritize its efforts in a manner consistent with its risk management strategy and business needs.
2. **Protect** – Develop and implement the appropriate safeguards to ensure the delivery of critical infrastructure services. The activities in the Protect Function support the ability to limit or contain the impact of a potential cybersecurity event.
3. **Detect** – Develop and implement the appropriate activities to identify the occurrence of a cybersecurity event. The activities in the Detect Function enable the timely discovery of cybersecurity events.
4. **Respond** – Develop and implement the appropriate activities to act regarding a detected cybersecurity incident. The activities in the Respond Function support the ability to contain the impact of a potential cybersecurity incident.
5. **Recover** – Develop and implement appropriate activities to maintain resilience and to restore any capabilities or services that were impaired due to a cybersecurity event. The activities in the Recover Function support timely recovery to normal operations, reduce the impact of a cybersecurity event, and provide insight and guidance for overall improvement.

When considered together, these Functions provide a high-level, strategic view of the life cycle of an organization’s management of cybersecurity risk.

The Framework Core then identifies underlying Categories and Subcategories for each Function. The 108 Subcategories are discrete cybersecurity outcomes that are organized into 23 Categories such as “Asset Management” and “Protective Technology.” Figure 3 depicts the basic structure of the Framework Core.

Functions	Categories	Subcategories	Informative References
IDENTIFY			
PROTECT			
DETECT			
RESPOND			
RECOVER			

Fig. 3. Structure of the Framework Core

The Cybersecurity Framework is outcome-based and focuses on the cybersecurity functions rather than components. A Cybersecurity Framework Profile is not intended to provide specific implementation guidance; however, a Profile will supply Informative References to existing standards, guidelines, and practices that provide practical guidance to help an organization achieve the desired outcome of each Subcategory. An example of two Subcategories and their Informative References within the Asset Management Category is shown in Figure 4.

Function	Category	Subcategory	Informative References
IDENTIFY (ID)	<b>Asset Management (ID.AM):</b> The data, personnel, devices, systems, and facilities that enable the organization to achieve business purposes are identified and managed consistent with their relative importance to organizational objectives and the organization's risk strategy.	<b>ID.AM-1:</b> Physical devices and systems within the organization are inventoried	CIS CSC 1 COBIT 5 BAI09.01, BAI09.02 ISA 62443-2-1:2009 4.2.3.4 ISA 62443-3-3:2013 SR 7.8 ISO/IEC 27001:2013 A.8.1.1, A.8.1.2 NIST SP 800-53 Rev. 4 CM-8, PM-5
		<b>ID.AM-2:</b> Software platforms and applications within the organization are inventoried	CIS CSC 2 COBIT 5 BAI09.01, BAI09.02, BAI09.05 ISA 62443-2-1:2009 4.2.3.4 ISA 62443-3-3:2013 SR 7.8 ISO/IEC 27001:2013 A.8.1.1, A.8.1.2, A.12.5.1 NIST SP 800-53 Rev. 4 CM-8, PM-5

**Fig. 4.** Cybersecurity Framework Subcategory Example

A *Cybersecurity Framework Profile* is an assessment of an organization in the context of the Cybersecurity Framework Core. A “*current*” *Profile* is a review of the Core Subcategories in terms of their applicability and current efficacy from the perspective of the organization. A “*target*” *Profile* is a set of Subcategories that are selected by an organization as being relevant to the organization to achieve a desired cybersecurity state. A gap is identified when a target Subcategory is missing or insufficiently implemented by the current Profile.

The Cybersecurity Framework [\[NIST-CSF\]](#) provides additional guidance regarding its purpose and use.

## 4. Baseline Profile

This section was created by using the Cybersecurity Framework, as described in Section 3.2. The tables summarize the Subcategories within a Category for a Function. The Informative References provide additional guidance.

By design, the Cybersecurity Framework is inherently flexible to accommodate the unique environments and needs of different organizations. Users of this document should understand that deviations between their enterprise and the assumptions made in this Profile will impact the applicability of the Subcategories. ***Therefore, stakeholders are advised to review all the Subcategories (including those considered not applicable) in the context of their organization.***

### 4.1. Identity Function

The Identify Function is foundational to the risk assessment process; risk management practitioners should start with the Identify Function. In order to manage risks and assets, they first have to be identified. Consideration of the organization’s mission and business objectives, threat environment, assets, and vulnerabilities will have a significant influence on the overall risk management decision and will also impact the other four Functions (i.e., Protect, Detect, Respond, Recover).

The objectives of the Identify Function include:

- identify the business or operational environment and organization’s purpose;
- identify all assets, including hardware, software, personnel, roles, and responsibilities, and the assets’ criticality;
- identify infrastructure that provides ground segment functionality; and
- identify the current and trending vulnerabilities, threats, and impacts should the threat be realized to assess the risk.

The Identify Function within the Cybersecurity Framework defines six Categories, which are summarized in Table 1. Each of these Categories has at least one Subcategory that applies to the ground segment. However, organizations should review all Subcategories in the Identify Function in case any of them apply to the organization’s environment.

**Table 1.** Baseline Profile for the Identify Function

Subcategory	Applicability to the Ground Segment	References
<p><b>Identify: Asset Management Category</b></p> <p>The data, personnel, devices, systems, and facilities that enable the organization to achieve its business objectives are identified and managed in a manner that is consistent with their importance to organizational objectives and the organization’s risk strategy. Asset management and prioritization is an important factor in other functions and activities such as contingency planning for future attacks, responding to malware events, emergency responses, and recovery actions. Asset management will assist in prioritizing response and recovery activities.</p>		

Subcategory	Applicability to the Ground Segment	References
<p><b>ID.AM-1:</b> Physical devices and systems within the organization are inventoried</p>	<p>Organizations should document and maintain an inventory of the components, to include cloud-based resources, that reflect the current system. Organizations should also consider incorporating a configuration management tool that documents the physical location of all physical components, then verify each component's location and identify its physical interfaces during physical inspections.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CM-8, CM-9, PM-5 <a href="#">[NIST-SP800-160V1]</a> 2.3</p>
<p><b>ID.AM-2:</b> Software platforms and applications within the organization are inventoried</p>	<p>Organizations should document and maintain an inventory of software components, including applications, firmware and operating systems. The inventory should also include non-traditional components such as virtual machine images and application programming interfaces (APIs). Relevant information such as licenses and versions should also be added. The software inventory should be reviewed and updated as defined by the organization.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CM-8, PM-5 <a href="#">[NIST-SP800-204]</a></p>
<p><b>ID.AM-3:</b> Organizational communication and data flows are mapped</p>	<p>Organizations should identify all connections within and between systems, and should document, authorize, and review all connections and interfaces. Connection information may include physical and logical interface characteristics, data characteristics, ports, protocols, addresses, security requirements, and connection purpose.</p> <p>In addition to IT specific data flows, communication and data flow mappings should include analog connections, such as radio frequency or optical transmitters/ receivers and interfaces.</p> <p>Some components (such as those that directly send commands or receive data from the space segment) are normally physically or logically isolated from other networks. Temporary connections to components for updates, diagnostics, scanning, etc. should be included in the mapping.</p>	<p><a href="#">[IEC61850-90-4]</a> 10, 14 <a href="#">[NIST-SP800-53r5]</a> AC-4, CA-3, CA-9, PL-8, SA-17</p>
<p><b>ID.AM-4:</b> External information systems are catalogued</p>	<p>Typically, connections to external information systems are strictly limited in the ground segment. Organizations should ensure that components that directly interface with space vehicles are securely isolated from external networks but can access necessary data from external sources, such as reach back to the satellite vendor for anomaly resolution support and connections to external databases. How this data is transferred should be catalogued.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-20, PM-5, SA-9</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>ID.AM-5:</b> Resources (e.g., hardware, devices, data, time, personnel and software) are prioritized based on their classification, criticality and business value</p>	<p>Organizations should identify and prioritize ground system components, processors, services, and functions based on their classification, criticality, and value in the context of maintaining positive control of the space segment.</p> <p>Organizations should provide adequate staffing with the appropriate training such that support is available in a timely manner (consistent with thresholds defined in the organization’s business plan).</p> <p>Stakeholders are advised to use other Functions within the Cybersecurity Framework to inform the identification and prioritization procedures. For example, while testing business continuity procedures, use the findings to identify which resources of the mission were impacted and to what degree, and reprioritize accordingly.</p>	<p><a href="#">[NIST-SP800-37r2]</a> <a href="#">[NIST-SP800-53r5]</a> AC-20, CP-2, CP-8, RA-2, RA-9, SA-20, SC-6</p>
<p><b>ID.AM-6:</b> Cybersecurity roles and responsibilities for the entire workforce and third-party stakeholders (e.g., suppliers, customers, partners) are established</p>	<p>Organizations should assign cybersecurity roles and responsibilities for the ground systems.</p> <p>The roles and responsibilities for third-party stakeholders and collaborative partners (such as organizations that own or operate payloads that are hosted) are determined on a case-by-case basis.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-2, PM-2, PM-29, PS-2, PS-7</p>

**Identify: Business Environment Category**

The organization’s mission, objectives, stakeholders, and activities are documented, reviewed, and prioritized. This information is used to inform cybersecurity roles, responsibilities, and risk management decisions.

<p><b>ID.BE-1:</b> The organization’s role in the supply chain is identified and communicated</p>	<p>Organizations should assess and implement their supply chain risk management policy and procedures with respect to ground segment systems.</p>	<p><a href="#">[NIST-SP800-53r5]</a> SR-1, SR-3 <a href="#">[NIST-SP800-161]</a></p>
<p><b>ID.BE-2:</b> The organization’s place in critical infrastructure and its industry sector is identified and communicated</p>	<p>Depending on the types of payloads or services provided by the satellite, organizations should consider the ground segment’s dependencies on and interdependencies with other critical infrastructure segments as part of their broader cyber risk management policy. They should also consider any related regulatory requirements.</p>	<p><a href="#">[NIST-SP800-53r5]</a> PM-8</p>
<p><b>ID.BE-3:</b> Priorities for organizational mission, objectives and activities are established and communicated</p>	<p>Organizations should consider communicating the priorities, threshold, and objective performance parameters so that potential customers of the satellite services will understand the scope and suitability for their mission.</p>	<p><a href="#">[NIST-SP800-53r5]</a> PM-11</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>ID.BE-4:</b> Dependencies and critical functions for delivery of critical services are established</p>	<p>Organizations should identify any critical capabilities from other sectors such as power, transportation, communications, timing etc. that may impact the mission. The organization’s infrastructure, such as network communication architectures, services, protocols, and hardware components, can impact recovery time.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-2, CP-8, PE-9, PE-11, PM-8, RA-9, SA-20, SR-2</p>
<p><b>ID.BE-5:</b> Resilience requirements to support delivery of critical services are established for all operating states (e.g., under duress/attack, during recovery, normal operations)</p>	<p>Resilience requirements for the MOC and PCC are strongly dependent on the space and user segments. The ability for the space segment to function autonomously, the criticality of the services provided by the payload, the system’s architecture, and procedural considerations will all define upper and lower bounds on resilience requirements (such as recovery time, periods of outage, etc.).</p>	<p><a href="#">[IEC61850-90-4]</a> 12.2, 14.2.4 <a href="#">[NIST-SP800-53r5]</a> CP-2, CP-11, RA-9, SA-8, SA-20</p>

**Identify: Governance Category**

The policies, procedures, and processes to manage and monitor the organization’s regulatory, legal, risk, environmental, and operational requirements are documented, reviewed, and inform the management of cybersecurity risk.

<p><b>ID.GV-1:</b> Organizational cybersecurity policy is established and communicated</p>	<p>This subcategory enables the organization to identify key functions and assign areas of responsibility to ensure a comprehensive cybersecurity approach.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-1, AT-1, AU-1, CA-1, CM-1, CP-1, IA-1, IR-1, MA-1, MP-1, PE-1, PL-1, PM-1, PS-1, PT-1, RA-1, SA-1, SC-1, SI-1, SR-1</p>
<p><b>ID.GV-2:</b> Cybersecurity roles and responsibilities are coordinated and aligned with internal roles and external partners</p>	<p>Organizations should define roles and responsibilities between the organization and any third party such as cloud-based infrastructures or other services. These agreements are typically made in advance.</p> <p>Clearly defined internal roles and responsibilities will facilitate a response in a time of duress. If the PCC is operated by an external partner, then coordination of the roles and responsibilities between the mission owner and payload operations should be determined in advance on a case-by-case basis.</p> <p>The MOC or PCC may require coordination with external entities for space situational awareness (such as space weather, collision avoidance, and other hazards), with well-developed responses to identified events.</p>	<p><a href="#">[NIST-SP800-53r5]</a> PM-1, PM-2, PM-29, PS-7, PS-9 <a href="#">[NOAA-SW]</a></p>
<p><b>ID.GV-3:</b> Legal and regulatory requirements regarding cybersecurity,</p>	<p>The MOC and PCC interface with the bus or payloads, not the data contained, so civil liberties and privacy considerations are generally not applicable. However, there may be cases in which the MOC or PCC may act as a conduit for user or mission data that may be</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-1, AT-1, AU-1, CA-1, CM-1, CP-1, IA-1, IR-1, MA-1, MP-1, PE-1, PL-1, PM-1,</p>

Subcategory	Applicability to the Ground Segment	References
including privacy and civil liberties obligations, are understood and managed	subject to additional legal requirements. Organizations should review the ground segment and associated services for any relevant regulatory and legal requirements.	PS-1, PT-1, RA-1, SA-1, SC-1, SI-1, SR-1
<b>ID.GV-4:</b> Governance and risk management processes address cybersecurity risks	Organizations should develop comprehensive risk management strategies which include cybersecurity considerations. For organizations that host payloads, the risk management processes for C2 of the bus may be influenced by changes in the payload mission.  Organizations should also review and update their risk management strategy as necessary.	<a href="#">[NIST-SP800-53r5]</a> PM-3, PM-7, PM-9, PM-10, PM-11, PM-28, RA-1, RA-2, RA-3, SA-2  <a href="#">[NIST-SP800-160V1]</a> 3.3.8

### Identify: Risk Assessment Category

The organization documents and reviews the cybersecurity risk to operations (including mission, functions, image, or reputation), assets, and individuals. The ground segment is an important part of the organization's risk assessment process, but attributes such as impact and likelihood must consider the space and user segments. Risk assessments are not normally done by individual segments. Typically, the analysis is performed by a separate group within the organization that considers the entire mission.

<b>ID.RA-1:</b> Asset vulnerabilities are identified and documented.	Organizations should identify, document, and report vulnerabilities that exist in the ground segment.  Vulnerability scanning is normally tested on a representative system to ensure that it is safe and feasible for the operational system. There are alternatives to vulnerability scanning that would be less risky for operational systems, like using information from asset and configuration management technologies to find known vulnerable versions of software and known security misconfigurations.  Space systems often have custom software performing key functions. This software can have vulnerabilities which may not be caught by typical vulnerability scanning tools. Special considerations for custom software are warranted.  Organizations should test systems frequently and prioritize documenting discovered vulnerabilities. Testing should also occur whenever there have been modifications to the system.	<a href="#">[NIST-SP800-53r5]</a> CA-2, CA-5, CA-7, CA-8, PM-4, PM-15, RA-3, RA-5, SA-5, SA-11, SI-2, SI-4, SI-5
<b>ID.RA-2:</b> Cyber threat intelligence is received from information sharing forums and sources.	Organizations should have procedures and processes to receive and analyze threat intelligence from a variety of sources.  Commercial entities can use resources such as reports generated by vendors, public interest groups, industry associations and sector-specific organizations (Space Information Sharing and Analysis Center [ISAC] for this sector). In some cases, threat intelligence may be	<a href="#">[CISA-ICS]</a> <a href="#">[DHS-NCCIC]</a> <a href="#">[NIST-SP800-53r5]</a> PM-15, PM-16, RA-10, SI-5 <a href="#">[NIST-SP800-150]</a> <a href="#">[Space-ISAC]</a>

Subcategory	Applicability to the Ground Segment	References
	received from national sources through appropriate channels.	
<p><b>ID.RA-3:</b> Threats, both internal and external, are identified and documented.</p>	<p>Organizations should incorporate threat modeling processes to identify and understand existing and future threats to the ground segment. Potential threat modeling categories may include kinetic physical, non-kinetic physical, electronic, and cybersecurity threats.</p> <p>Threat identification and documentation is not limited to malicious attacks or threats to information systems. Organizations should consider integrating cyber threat assessments with other existing reliability and environmental assessments typically performed by space systems developers.</p>	<p><a href="#">[CCSDS-GREEN]</a>  <a href="#">[DIA-SPACE]</a>  <a href="#">[NASIC]</a>  <a href="#">[NIST-IR8179]</a>  <a href="#">[NIST-SP800-37r2]</a>  <a href="#">[NIST-SP800-53r5]</a> PM-12, PM-16, RA-3, RA-10, SI-5  <a href="#">[NIST-SP800-154]</a>  <a href="#">[NIST-SP800-160V1]</a> 2.3  <a href="#">[RTCA-DO-235]</a> 4-12</p>
<p><b>ID.RA-4:</b> Potential business impacts and likelihoods are identified.</p>	<p>Organizations should identify any potential impacts based on the results of performing ID.RA-1 through ID.RA-3. Stakeholders should be made aware that this type of analysis is probabilistic and typically presented as a range. Likelihood is impacted by externalities such as a time of peace versus a time of heightened tensions.</p> <p>For malicious threat agents, likelihood is a function of capability and intent. Assessments should be updated as organizations’ knowledge of threat agents’ capabilities increase, and events occur that may increase the likelihood of attack.</p> <p>The impact analysis should be updated as the organization’s business and knowledge evolves.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-2, PM-9, PM-11, RA-2, RA-3, RA-9  <a href="#">[RTCA-DO-235]</a> 2.1, 13</p>
<p><b>ID.RA-5:</b> Threats, vulnerabilities, likelihoods and impacts are used to determine risk.</p>	<p>The risk determination requires a coordinated effort between threat analysts (for capability and intent of threat agents), system designers (for vulnerability assessment), and mission owner (for impact).</p> <p>Organizations should reassess risk on a periodic basis, and when there is a substantive change:</p> <ul style="list-style-type: none"> <li>• to the system’s vulnerabilities (such as an equipment upgrade);</li> <li>• in the likelihood of threat realization (such as a time of international tension);</li> <li>• in the impact should a threat be realized (such as an organization’s increased use or dependency on the satellites’ payload services); or</li> <li>• in lessons learned from recovery activities.</li> </ul>	<p><a href="#">[IETF-RFC8915]</a> 3-9  <a href="#">[NIST-SP800-30r1]</a>  <a href="#">[NIST-SP800-53r5]</a> CA-2, CA-7, PM-16, PM-28, RA-2, RA-3  <a href="#">[NIST-SP800-160V1]</a> 2.3, 2.4  <a href="#">[RTCA-DO-235]</a> 2.1-2.4, 3, 14</p>
<p><b>ID.RA-6:</b> Risk responses are</p>	<p>Organizations should have processes and procedures to identify and prioritize risk responses.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CA-5, PM-4, PM-9, PM-28, RA-7</p>

Subcategory	Applicability to the Ground Segment	References
identified and prioritized.	Risk responses include activities such as acknowledging and accepting the risk, transferring the risk, mitigating the risk by addressing vulnerabilities through technical or operational means, or eliminating the risk by changing operations.	

**Identify: Risk Management Strategy Category**

The organization’s priorities, constraints, risk tolerances, and assumptions are established and used to support operational risk decisions. The risk management strategy takes into consideration the risk factors of all three segments (space, ground, and user) as appropriate. This profile concentrates on the inclusion of the ground segment in the risk management strategy.

<b>ID.RM-1:</b> Risk management processes are established, managed and agreed to by organizational stakeholders.	Organizations should establish risk management processes that detail how the risk management strategy is developed for the organization or sub-organizations. Although this profile concentrates on the ground segment, the process itself will be the same across the organization. Given that the risk accepted by one segment may impact or be imposed on other segments, the risk management strategy should include all three segments.	<a href="#">[NIST-SP800-53r5]</a> PM-9, PM-28
<b>ID.RM-2:</b> Organizational risk tolerance is determined and clearly expressed.	Organizations should determine the risk tolerance for their ground segment. This risk tolerance will include the MOC and PCC.  The organizational risk tolerance of the ground segment (and the potential residual risk that may be inherited) may then be used in risk management of the space and user segments as appropriate.	<a href="#">[NIST-SP800-53r5]</a> PM-9
<b>ID.RM-3:</b> The organization’s determination of risk tolerance is informed by its role in critical infrastructure and sector specific risk analysis	Organizations should determine their risk tolerance related to the ground segment.  An organization may determine its risk tolerance across all the segments that it operates. Risk tolerance will be determined by the entire organization (to include the space and user segment), and the risks of the ground segment should be included in that determination, including the MOC and the PCC.	<a href="#">[NIST-SP800-53r5]</a> PM-8, PM-9, PM-11, RA-9

**Identify: Supply Chain Risk Management Category**

The organization’s priorities, constraints, risk tolerances, and assumptions are established and used to support risk decisions associated with managing supply chain risk. The organization has established and implemented the processes to identify, assess, and manage supply chain risks.

<b>ID.SC-1:</b> Cyber supply chain risk management processes are identified, established, assessed, managed and agreed to by	Due to the nature of the ground segment, some of the equipment used is highly specialized with a limited supply chain. Organizations should consider this specialized nature when determining and managing supply chain risk.  Supply chain risk management processes need to consider challenges and constraints that may not observed in typical IT environments. For example, the	<a href="#">[NIST-SP800-53r5]</a> PM-30, SA-9, SR-1, SR-2, SR-3, SR-5  <a href="#">[NIST-SP800-161]</a>
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Subcategory	Applicability to the Ground Segment	References
organizational stakeholders.	unique delivery of updates and patching necessitated by the constrained external connections of the ground segment. The MOC has constrained external connections and critical components that directly communicate with the space segment and are typically securely isolated from the network.	
<p><b>ID.SC-2:</b> Suppliers and third-party partners of information systems, components and services are identified, prioritized and assessed using a cyber supply chain risk assessment process.</p>	<p>Organizations should consider having multiple sources for hardware or software to facilitate line-item replacement by different manufacturers. This measure can avoid supply chain breakage impacts due to the loss of a vendor or poor production lots delaying delivery of equipment.</p> <p>Any use of third parties should be reviewed and agreed upon in advance on a case-by-case basis. Assessment of a potential third-party partner should include an understanding of their cyber maturity and the corresponding level of trust that would be suitable. Limit information access to the greatest extent practical and sensitive information should be securely isolated.</p> <p>Organizations should remain informed of current and future regulations related to the acquisition of services (such as buses to accommodate a hosted payload) and devices that may form and transport C2 messages or receive payload acknowledgements or telemetry.</p>	<p><a href="#">[NIST-SP800-53r5]</a> PM-9, RA-3, SA-15, SR-2, SR-3, SR-5, SR-6</p> <p><a href="#">[NIST-SP800-161]</a> 2.2, 3</p>
<p><b>ID.SC-3:</b> Contracts with suppliers and third-party partners are used to implement appropriate measures designed to meet the objectives of an organization's cybersecurity program and Cyber Supply Chain Risk Management Plan.</p>	<p>Organizations should have processes in place to develop and review contracts to ensure that the contracts meet the needs of the ground segment, including regulatory constraints.</p> <p>Consider contractual language to identify and screen any software bill of materials (SBOM) within custom software. If SBOMs are requested, organizations should monitor for vulnerabilities in underlying software libraries.</p> <p>Mission unique and custom software often has very specific dependencies with existing Command and Control (C2) tools, Telemetry, Tracking, and Control TT&amp;C tools. Special attention should be given to custom software and its dependencies to ensure there are contractual obligations covering their cybersecurity throughout the anticipated lifespan of the satellite being supported.</p> <p>Considerations may include:</p> <ol style="list-style-type: none"> <li>1. functional requirements;</li> <li>2. any relevant and applicable federal law, regulation, or policy;</li> <li>3. the threat environment;</li> <li>4. mission-level goals, criticality, and functions;</li> <li>5. security policies;</li> <li>6. organizational policies; and</li> </ol>	<p><a href="#">[NDAA]</a> Section 889</p> <p><a href="#">[NIST-SP800-53r5]</a> SA-4, SA-9, SR-2, SR-3, SR-5</p>

Subcategory	Applicability to the Ground Segment	References
	7. business objectives.	
<p><b>ID.SC-4:</b> Suppliers and third-party partners are routinely assessed using audits, test results, or other forms of evaluations to confirm they are meeting their contractual obligations.</p>	<p>Organizations should conduct assessments and evaluations in the context of supply chain considerations for the ground segment such as:</p> <ol style="list-style-type: none"> <li>1. the risk of counterfeit systems and components;</li> <li>2. the development and operational environment of the supplier;</li> <li>3. the logistics or delivery environment; and</li> <li>4. protection measures for sensitive information and data.</li> </ol> <p>The organization should consider access paths within the supply chain that might allow adversaries to gain information and introduce hardware, software, or firmware that could cause disruption of the space or ground segment as well as any dependencies that may exist.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AU-6, CA-2, CA-7, PS-7, SA-9, SA-11</p>
<p><b>ID.SC-5:</b> Response and recovery planning and testing are conducted with suppliers and third-party providers</p>	<p>Organizations should include suppliers and third-party providers in recovery planning and testing as appropriate for the ground segment.</p> <p>Scenarios where this may apply include situations where:</p> <ul style="list-style-type: none"> <li>• PCC and MOC are independent organizations,</li> <li>• there is a cloud service provider,</li> <li>• an independent organization is leasing ground sites or antennas, etc.</li> </ul> <p>Typically, such activities are done in advance of satellite launch, though modifications in these activities may take place throughout the life of the satellite.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-2, CP-4, IR-3, IR-4, IR-8, IR-9</p>

## 4.2. Protect Function

The Protect Function includes development, implementation, and verification measures to prevent the loss of assurance or functionality within the ground segment. Additionally, the Protect Function enables the response to and recovery from cybersecurity events with planning and preparation activities, while the execution of risk mitigation is addressed in the Response and Recovery Functions.

The ground segment is becoming more interconnected and cloud-based ground infrastructures, however legacy space operations and the space vehicles themselves use custom software and hardware that was not generally created to be part of a modern highly interconnected cyber-ecosystem. This can be especially problematic with legacy components that may have been created prior to the development of security best practices or that use obsolete security measures. Where conventional information technology (IT) cybersecurity measures may not be available, the profile strives to suggest compensating controls. Where practical, this section addresses some

of the issues resulting from legacy or repurposed components; organizations should apply additional consideration to niche components.

The objectives of the Protect Function include:

- protecting the systems that format and transmit commands to the required level of assurance;
- protecting the systems that receive and process telemetry or other data from the satellite; and
- should a threat be realized, protecting the ground segment to maintain a sufficient level of operations through verified response and recovery plans and prevent adverse impacts on the space segment.

The Protect Function defines six Categories, which are summarized in Table 2. Each of these Categories has at least one Subcategory that applies to the ground segment. However, organizations should review all Subcategories in the Protect Function in case any of them apply to the organization’s environment.

**Table 2.** Baseline Profile for the Protect Function

Subcategory	Applicability to the Ground Segment	References
<p><b>Protect: Access Control Category</b></p> <p>Access to physical and logical assets and associated facilities is limited to authorized users, processes, and devices. The extent of the management and the degree of the limitations are consistent with the assessed risk of unauthorized access. In the context of the ground segment, assets may include antennas, receivers, and servers. “Physical access” may include measures to protect RF emanations through means such as directional antennas, beam shaping, the use of access codes within direct-sequence spread spectrum (DSS) implementations, etc.</p> <p>Emergency scenarios will be more thoroughly addressed in the Respond and Recover functions; however, there are important impacts within the Access Control category. Due to the environment that the ground segment operates within, the organization may have to bypass regular access controls in an emergency.</p>		
<p><b>AC-1:</b> Identities and credentials are issued, managed, verified, revoked and audited for authorized devices, users and processes.</p>	<p>Organizations should have processes and procedures to manage credentials, including issuance, verification, revocation, and auditing.</p> <p>Organizations should revoke credentials when the authorization of operators, devices, and processes expire or are no longer needed.</p>	<p><a href="#">[NIST-SP800-53r5]</a> IA-1, IA-2, IA-3, IA-4, IA-5, IA-6, IA-7, IA-8, IA-9, IA-10, IA-11, IA-12</p>
<p><b>PR.AC-2:</b> Physical access to assets is managed and protected</p>	<p>Organizations should define physical access procedures and controls for the ground segment for normal operations, including remote assets.</p> <p>Organizations should establish procedures for physical access in emergency situations that enable effective emergency response in a timely manner.</p> <p>Organizations should restrict and manage physical access to antenna fields and operation centers and</p>	<p><a href="#">[NIST-IR8320]</a></p> <p><a href="#">[NIST-SP800-53r5]</a> PE-1, PE-2, PE-3, PE-4, PE-5, PE-6, PE-8, PE-9</p>

Subcategory	Applicability to the Ground Segment	References
	consider hardware-enabled security for remote physical assets.	
<p><b>PR.AC-3:</b> Remote access is managed</p>	<p>Organizations should manage connections to and from the ground segment in accordance with organizational policies and procedures. Organizations should consider securely isolating components that directly communicate with the space segment. This can be done by measures such as privileged access components, role-based access controls or disconnecting from external networks.</p> <p>Traditionally, ground segment isolation was accomplished through air gapping or limited connections. Increasingly, isolation is being accomplished via accounts, tenant isolation, and identities when using third-party services.</p> <p>Organizations may permit or require remote access to the ground segment as part of their standard operations. Organizations should assess the risk of remote access or transition to cloud-based services. Remote access could be part of an organization’s emergency response. If implemented, organizations should allow limited remote access to a subset of personnel using machines that are directly controlled and maintained by the organization.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-1, AC-17, AC-19, AC-20, SC-7 SC-15</p>
<p><b>PR.AC-4:</b> Access permissions and authorizations are managed, incorporating the principles of least privilege and separation of duties</p>	<p>Organizations should establish policies, procedures, and processes to manage access permissions and authorizations. These policies and controls should enforce least-privilege principles for access to the ground segment.</p> <p>Organizations can consider having limited access under risk-based adaptive policies that provide access on a limited time or limited privilege basis.</p> <p>Organizations should configure access to components and services such that functionality is limited to performing tasks associated with satellite operations.</p> <p>Organizations should develop mandatory access controls and provide any additional access with discretionary access controls to limit the authorization of an authenticated user.</p> <p>Organizations should consider implementing role-based access control to achieve granular authorization which limits users to assigned tasks and responsibilities.</p> <p>Organizations should define access and authorization controls for normal operations and for emergency situations.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-1, AC-2, AC-3, AC-5, AC-6, AC-14, AC-16, AC-24</p> <p><a href="#">[NIST-SP800-160V1]</a> Appendix F.1.14</p>
<p><b>PR.AC-5:</b> Network integrity is protected (e.g., network</p>	<p>Organizations should establish procedures and controls to protect the integrity of ground segment networks. For example, organizations can impact radius for breaches</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-4, AC-10, SC-7, SC-10, SC-20</p>

Subcategory	Applicability to the Ground Segment	References
segregation, network segmentation)	and prevent lateral movement by segmenting access by network, user, devices, and applications.	
<b>PR.AC-6:</b> Identities are proofed and bound to credentials and asserted in interactions	Organizations should verify identities of users (this can include people, systems, and software) to the appropriate level of assurance prior to issuing credentials. When validating credentials, organizations should consider contextual information such as geographic location, normal duty hours, task(s) being executed relative to normal tasking, etc.	<a href="#">[ATIS-I-0000070]</a> 2-7 <a href="#">[NIST-IR8014]</a> 4-6 <a href="#">[NIST-SP800-53r5]</a> AC-16, IA-1, IA-2, IA-4, IA-5, IA-8, IA-12, PE-2, PS-3
<b>PR.AC-7:</b> Users, devices and other assets are authenticated (e.g., single-factor, multi-factor) commensurate with the risk of the transaction (e.g., individuals' security and privacy risks and other organizational risks)	<p>Organizations should establish procedures and controls to ensure that users, devices, services, and others are authenticated before allowing connections. The ground segment provides the only communications to the space segment, so preventing unauthenticated communication should be a high priority.</p> <p>Traditionally, the ground segment requires physical access and authentication when initiating a session communicating with the space segment. Organizations should evaluate the risks and implement adequate controls if they are transitioning to more remote operations or cloud-based implementations.</p> <p>Controls like requirements for multifactor authentication which provide additional protection should be considered.</p> <p>Authentication on the space link provides protection against link intrusions. In cases where authentication adds unacceptable volume or latency to the link, the organization should consider compensating controls for authentication prior to communication with the space segment, such as logins or physical access controls.</p>	<a href="#">[IETF-RFC4082]</a> 2-5 <a href="#">[IETF-RFC7822]</a> 2-4 <a href="#">[NIST-SP800-53r5]</a> AC-14, IA-1, IA-2, IA-3, IA-5, IA-8, IA-9, IA-10
<p><b>Protect: Awareness and Training Category</b></p> <p>The organization's personnel and partners are provided cybersecurity awareness education and trained to perform their cybersecurity-related duties and responsibilities consistent with related policies, procedures, and agreements. The awareness and training category is not unique to the satellite industry. The focus is on privileged users who operate, monitor, and maintain equipment that interfaces with the space segment and third-party partners. In the hosted payload scenario, third-party partnerships between the PCC and the MOC vary widely and are coordinated in advance.</p>		
<b>PR.AT-1:</b> All users are informed and trained.	Organizations should provide awareness education and training for all ground segment personnel for the bus and payload.	<a href="#">[NIST-SP800-53r5]</a> AT-2, PM-13, PM-14
<b>PR.AT-2:</b> Privileged users understand their roles and responsibilities.	Organizations should provide more specialized training in accordance with the granularity of the authorization and operation policies to ground segment personnel for the bus and payload.	<a href="#">[NIST-SP800-53r5]</a> AT-3, PM-13 <a href="#">[NIST-SP800-160V1]</a> Appendix E

Subcategory	Applicability to the Ground Segment	References
<p><b>PR.AT-3:</b> Third-party stakeholders (e.g., suppliers, customers, partners) understand their roles and responsibilities.</p>	<p>Organizations should establish and have agreement on definitions of the roles and responsibilities between third-party organizations and the ground segment.</p> <p>Throughout the space community, there is a wide range of third-party relationships, such as these examples:</p> <ul style="list-style-type: none"> <li>• a payload and a host are owned or operated by independent organizations;</li> <li>• the ground segment has a contractual relationship with the space segment for on-orbit anomaly resolution; or</li> <li>• an organization uses cloud-based infrastructure from a cloud service provider for the ground segment.</li> </ul>	<p><a href="#">[NIST-SP800-53r5]</a> AT-3, PS-7, SA-9</p>
<p><b>PR.AT-4:</b> Senior executives understand their roles and responsibilities.</p>	<p>Organizations should ensure that their senior executives understand their roles and responsibilities.</p> <p>This is especially relevant in emergency scenarios involving the ground segment. In the event of an emergency, senior executives may need to override granular authorization processes implemented in the MOC and PCC. Organizations should train senior executives for these scenarios.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AT-3, PM-2, PM-13</p>
<p><b>PR.AT-5:</b> Physical and cybersecurity personnel understand their roles and responsibilities.</p>	<p>Organizations should ensure that both physical security and cybersecurity personnel in the ground segment understand their roles and responsibilities.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AT-3, CP-3, IR-2, PM-13</p>

### Protect: Data Security Category

Information and data are managed to protect the confidentiality, integrity, and availability of commands, responses, or telemetry in a manner that is consistent with the organization’s risk strategy. In the context of the ground segment, the focus is on the TT&C uplinks and downlinks. Some of the typical characteristics of these data flows include:

- relatively low bandwidth requirements
- intolerant to latency
- intolerant to jitter
- archiving in accordance with legal requirements or organization policy

<p><b>PR.DS-1:</b> Data-at-rest is protected.</p>	<p>Organizations should implement policies and controls so that data-at-rest is protected in accordance with risk. Risk is determined in part by sensitivity of the data under consideration. The more sensitive the data, the greater the protection needed. Similarly, the risk should also be informed by how and from where the data is accessed (for example, if a request originates from unmanaged devices or is from digital media).</p> <p>Organizations should consider controls for data-at-rest on operational systems, backup systems, and digital</p>	<p><a href="#">[GPS-ICD-870]</a> 3.3, 3.3.1</p> <p><a href="#">[NIST-SP800-37r2]</a> 3</p> <p><a href="#">[NIST-SP800-53r5]</a> MP-2, MP-3, MP-4, MP-5, MP-6, MP-7, MP-8, SC-28</p> <p><a href="#">[NIST-SP800-175Br1]</a></p> <p><a href="#">[NIST-SP800-209]</a></p>
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Subcategory	Applicability to the Ground Segment	References
	<p>media. Such controls can include access control lists, encryption, and physical controls to prevent access.</p> <p>Organizations should also consider storing data separately from the operational system so the information is retained even if the system is lost.</p>	
<p><b>PR.DS-2:</b> Data-in-transit is protected.</p>	<p>Organizations should establish policy and controls to protect data-in-transit in the ground segment.</p> <p>Command uplinks are typically encrypted and authenticated. Consider measures such as a command count or nonce to protect against replay attacks or spoofing.</p> <p>Organizations should verify all communications that cross trust boundaries are encrypted end-to-end.</p> <p>During design and operations phases of the mission, organizations should consider how properties of the communications link, such as free path loss and interference, may interact with controls intended to protect the link and assure data in transit. Organizations should consider measures such as spread spectrum, error detection, error correction, etc. to mitigate jamming, denial of service, and integrity attacks in accordance with the organization’s availability and integrity requirements.</p> <p>If publicly available links (such as commercial carriers) are used on terrestrial links between PCC and MOC, then consider measures such as geographic diversity and redundancy to mitigate availability attacks.</p>	<p><a href="#">[IETF-RFC2488]</a></p> <p><a href="#">[IETF-RFC2760]</a></p> <p><a href="#">[NIST-SP800-53r5]</a> SC-8, SC-11, SC-12</p> <p><a href="#">[RB-2012]</a></p> <p><a href="#">[CCSDS-BLUE]</a></p>
<p><b>PR.DS-3:</b> Assets are formally managed throughout removal, transfers and disposition.</p>	<p>Organizations should establish policies and procedures to manage assets throughout their lifecycle.</p> <p>Media sanitization and zeroization of cryptographic variables should be given special consideration.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CM-8, MP-6, PE-16, PE-20</p>
<p><b>PR.DS-4:</b> Adequate capacity to ensure availability is maintained.</p>	<p>Organizations should determine what level of availability needs to be maintained and establish the required capacity for their ground segments.</p> <p>Commands, response, and telemetry tend to be low-bandwidth operations, and availability constraints are normally due to environmental events impacting RF or optical links. The command link is sensitive to delay and jitter. All services and communications pathways to and from the spacecraft should be examined to ensure they have adequate capacity to handle peak throughput requirements. Consider cyber/counterspace-relevant cases when determining peak command and telemetry throughput for system sizing. Cyber-relevant cases may include downtime at one site shifting additional</p>	<p><a href="#">[IEC62439-3]</a> 4, 5, Appendix P.2.3, 4.6, 4.8, 4.9, 4.12, 4.13</p> <p><a href="#">[NIST-SP800-53r5]</a> AU-4, CP-2, PE-11, SC-5</p> <p><a href="#">[NIST-SP800-160V1]</a> Appendix F.4</p>

Subcategory	Applicability to the Ground Segment	References
	<p>throughput to another site or provider. Contingency cases may require high-volume interaction with the vehicle for activities such as root cause analysis or anomaly response.</p> <p>If organizations are using service providers, they should consider what capacity exists at the ground station to maintain data rates in case organizations are disconnected from other systems.</p>	
<p><b>PR.DS-5:</b> Protections against data leaks are implemented.</p>	<p>Organizations should implement and evaluate protections against data leaks, especially in the cases of changes in operating procedures or the adoption of new systems.</p> <p>Within a ground segment, many of these protections can be provided by functions such as authentication, isolation of information flows, strict access control, and encryption of data in transit.</p>	<p><a href="#">[GPS-ICD-240]</a> <a href="#">[NIST-SP800-53r5]</a> AC-4, AC-5, AC-6, PE-19, PS-3, PS-6, SC-7, SC-8, SC-13, SC-31, SI-4</p>
<p><b>PR.DS-6:</b> Integrity checking mechanisms are used to verify software, firmware and information integrity.</p>	<p>Organizations should adopt processes and procedures to provide integrity protection consistent with the architecture, design, and available technologies. For example, command links protected with encryption or anti-replay techniques assure that software loads are not corrupted in transit. Digital signatures for authentication provides a level of information integrity, and supply chain risk management technologies and procedures that provide a level of assurance for software and firmware.</p> <p>Organizations should have processes and procedures in place to protect hardware, firmware, and code from unauthorized access and tampering. Processes should help prevent unauthorized modifications, both inadvertent and intentional, which could circumvent or negate the intended security characteristics.</p> <p>Given the high value of satellites, organizations should consider if additional measures are warranted for any integrity loss that could result in the loss or damage of the space vehicle. These additional controls could include two-person integrity controls for high-risk, high-sensitivity commands.</p>	<p><a href="#">[GPS-ICD-240]</a> <a href="#">[NASA-NPR7150-2D]</a> <a href="#">[NIST-SP800-53r5]</a> SI-7, SI-10 <a href="#">[NIST-SP800-160V1]</a> 2.3, 3.3.6, 3.4.9-3.4.11, Appendix F <a href="#">[NIST-SP800-161]</a> <a href="#">[NIST-SP800-193]</a> <a href="#">[NIST-SP800-218]</a> PO.3.3, PS.1</p>
<p><b>PR.DS-7:</b> The development and testing environment(s) are separate from the production environment.</p>	<p>Due to the high value of the space segment and the risk of damage from the ground segment, organizations should not deploy untested software and systems on the production systems of the ground segment.</p> <p>Organizations should consider using a development environment for testing software updates, system modifications, etc. This type of testing can reduce the risk of failure or damage on the production systems.</p> <p>In addition, it is important to maintain the configuration baseline of the test system to match the current baseline of the operational system..</p>	<p><a href="#">[NIST-SP800-53r5]</a> CM-2, SA-3 <a href="#">[NIST-SP800-160V1]</a> 2.3, 3.3.6, 3.4.9-3.4.11, Appendix F</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>PR.DS-8:</b> Integrity checking mechanisms are used to verify hardware integrity.</p>	<p>Controls in this category work in conjunction with other Categories such as Identify: Asset Management and Identify: Supply Chain Risk Management.</p> <p>Organizations should consider the use of hardware-enabled security and trusted platform modules (TPMs) as well as anti-tamper controls as defined in FIPS 140-3.</p>	<p><a href="#">[FIPS140-3]</a>  <a href="#">[NIST-IR8320]</a>  <a href="#">[NIST-SP800-53r5]</a> AC-25, SA-10, SI-7, SR-9, SR-10  <a href="#">[NIST-SP1800-19]</a>  <a href="#">[NIST-SP1800-34]</a></p>
<p><b>Protect: Information Protection Processes and Procedures Category</b></p> <p>Security policies (that address purpose, scope, roles, responsibilities, management commitment, and coordination among organizational entities), processes, and procedures are maintained and used to manage the protection of information systems and assets.</p>		
<p><b>PR.IP-1:</b> A baseline configuration of information technology/industrial control systems is created and maintained incorporating security principles (e.g. concept of least functionality).</p>	<p>Having a maintained baseline configuration is especially important to the ground segment. In most cases, it is not practical to upgrade the space segment, and changes to the configuration of the ground segment can have unforeseen consequences. A secured and maintained configuration baseline can be used to help avoid these consequences.</p> <p>Information assurance requirements and configuration may impact the overall performance of the system, so organizations should verify that the baseline configuration results in a system that meets the baseline performance requirements, such as delay, wander, and jitter tolerances.</p> <p>Organizations should install and configure devices and components per manufacturer instructions using established best practices. They should also understand the limitations of the original equipment being fielded and verify that devices and components are suitable.</p> <p>Organizations should configure the MOC and PCC in a manner such that only essential capabilities are provided to minimize complexity. Reduced complexity can reduce the attack surface and impact recovery time.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CM-1, CM-2, CM-3, CM-4, CM-5, CM-6, CM-7, CM-9, SA-10  <a href="#">[NIST-SP800-137]</a> Section D  <a href="#">[NIST-SP800-160V1]</a> 3.4.9, 3.4.10, 3.4.11, Appendix F, Appendix G  <a href="#">[RTCA-DO-235]</a></p>
<p><b>PR.IP-2:</b> A System Development Life Cycle to manage systems is implemented.</p>	<p>Space systems can have a lengthy design and operations phase (sometimes decades) and consideration of the evolution of the threat landscape and value of the data (both the systems in flight and the data being generated) are subject to changes in risk during this period. Maintain separation of concerns and consider agile designs to facilitate upgrades and modifications.</p> <p>The organization’s SDLC (or sub-system’s SLDC) should incorporate and manage security measures throughout the life cycle of components. This should include documenting the requirements, approach, architectures, and assumptions used to minimize risks for systems.</p>	<p><a href="#">[NIST-SP800-53r5]</a> SA-3, SA-4, SA-8, SA-10, SA-11  <a href="#">[NIST-SP800-160V1]</a> 3.2.1, Appendix F.3</p>

Subcategory	Applicability to the Ground Segment	References
	<p>Organizations should consider the intended lifetime of systems that are dependent on the ground segment, and be aware that systems nearing end-of-life may have parts/components obsolescence or availability issues.</p> <p>If legacy systems are incapable of providing cyber security controls and cannot be replaced, organizations should consider putting in place compensating controls. These compensating controls may take the form of appliances that act as secure buffers between the network and legacy systems.</p>	
<p><b>PR.IP-3:</b> Configuration change control processes are in place.</p>	<p>Organizations should employ configuration change control for the ground segment and its components that are consistent with the software development life cycle to maintain a functioning baseline. Organizations should monitor all changes to validate impacts and integrity, and conduct impact analyses prior to deploying a change.</p> <p>Organizations should provide a mechanism so that changes to the firmware and software can be returned to a proper working state.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CM-3, CM-4, SA-10 <a href="#">[NIST-SP800-137]</a> Section D <a href="#">[NIST-SP800-160V1]</a> 3.3.5, 3.8.3, 3.8.4</p>
<p><b>PR.IP-4:</b> Backups of information are conducted, maintained and tested.</p>	<p>Within a ground segment, backup of information is typically provided as a part of the implementation of other Subcategories, especially PR.IP-9 and 10.</p> <p>To avoid mission loss and facilitate recovery, ground segment organizations typically have one or more redundant facilities that include transmitters, receivers, and servers that are fully backed up (with critical databases, reference software, gold codes, keys etc.) which can generate commands, process telemetry, and other critical operations.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-4, CP-6, CP-9</p>
<p><b>PR.IP-5:</b> Policy and regulations regarding the physical operating environment for organizational assets are met.</p>	<p>The organization should review the physical operating environment to ensure that policies and regulations are met for the ground segment. This could include reviewing emergency lighting, fire protection, and climate controls.</p>	<p><a href="#">[NIST-SP800-53r5]</a> PE-1, PE-12, PE-13, PE-14</p>
<p><b>PR.IP-6:</b> Data is destroyed according to policy</p>	<p>The organization should conduct reviews to ensure that data is destroyed according to policy. This could include reviewing data sanitization procedures and component disposal.</p>	<p><a href="#">[NIST-SP800-53r5]</a> MP-6, SR-12</p>
<p><b>PR.IP-7:</b> Protection processes are improved.</p>	<p>The organization does assessments to identify areas for improvement in protection processes for the ground segment. These assessments can include reviewing plans and implementing measures of performance.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CA-2, CA-7, CA-8, CP-2, CP-4, IR-3, IR-8, PL-2, PM-6</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>PR.IP-8:</b> Effectiveness of protection technologies is shared.</p>	<p>The organization shares information on the effectiveness of protection technologies as appropriate.</p> <p>This Subcategory is important for commercial-off-the-shelf (COTS) hardware and software that are implemented in the ground segment. However, the ground segment contains many components that are unique to space operations and may not have relevant information to share outside of other organizations in the space industry. Organizations should consider what might be useful to share within the space industry.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-21, CA-7, CP-2, IR-8, SI-4</p> <p><a href="#">[NIST-SP800-150]</a></p> <p><a href="#">[Space-ISAC]</a></p>
<p><b>PR.IP-9:</b> Response plans (Incident Response and Business Continuity) and recovery plans (Incident Recovery and Disaster Recovery) are in place and managed.</p>	<p>Organizations should develop and maintain response and recovery plans that identify essential functions and associated contingency requirements, as well as provide a roadmap for implementing incident response. Plans should incorporate recovery objectives, restoration priorities, tests, metrics, contingency roles, personnel assignments, and contact information. Plans should prioritize maintaining essential functions despite system disruption or manipulation, as well as the eventual restoration to normal operations.</p> <p>This is especially relevant to the ground segment. Space assets are high-cost, high-value assets that are inaccessible, have a limited ability to act autonomously, and are reliant on the ground segment.</p> <p>Response and business continuity plans for the ground segment need to be executed in a manner that is consistent with the space segment’s ability to operate autonomously and in the case of a congested orbital slot, in a manner to avoid collisions.</p>	<p><a href="#">[IEC61850-90-12]</a> 5.8, 4.12-4.14</p> <p><a href="#">[NIST-SP800-53r5]</a> CP-1, CP-2, CP-7, CP-10, IR-1, IR-7, IR-8, IR-9, PE-17</p> <p><a href="#">[NIST-SP800-61r2]</a></p> <p><a href="#">[NIST-SP800-160V1]</a> 6.5, 6.6, Appendix F.2</p>
<p><b>PR.IP-10:</b> Response and recovery plans are tested.</p>	<p>Organizations should assess preparedness by testing incident response and recovery plans to verify effectiveness and for training purposes.</p> <p>Organizations should also consider qualification and periodic testing to assess the response and recovery plans as the satellites lose capabilities due to age or changes to space operations that would significantly impact the performance requirements for the ground segment.</p> <p>Organizations should review the results of testing to determine the efficiency and effectiveness of the plans as well as readiness to execute the plans. The results can also be used to inform other Cybersecurity Framework Functions, such as Detect.</p> <p>Testing and verification of recovery plans should be done in a manner that does not impact operations. Consider the use of test environments (refer to PR.DS-7).</p>	<p><a href="#">[IEC61850-90-4]</a> 14.2.4, 5.4.2.5</p> <p><a href="#">[NERC-GridEx]</a></p> <p><a href="#">[NIST-SP800-53r5]</a> CP-4, IR-3, PM-14</p> <p><a href="#">[NIST-SP800-115]</a></p> <p><a href="#">[NISTIR-8270]</a></p>

Subcategory	Applicability to the Ground Segment	References
	<p>The testing of response and recovery plans can validate the command link’s availability, integrity, and confidentiality and confirm that it remains within specified tolerances throughout an incident.</p> <p>Recovery and response plans for the space segment are addressed in NISTIR 8270.</p>	
<p><b>PR.IP-11:</b> Cybersecurity is included in human resources practices (e.g., deprovisioning, personnel screening).</p>	<p>Given the high value of space assets and the potential of international incidents, organizations should consider measures such as periodic background checks, screenings, etc. for MOC and PCC personnel.</p>	<p><a href="#">[NIST-SP800-53r5]</a> PS-1, PS-2, PS-3, PS-4, PS-5, PS-6, PS-7, PS-8, PS-9, SA-21</p>
<p><b>PR.IP-12:</b> A vulnerability management plan is developed and implemented.</p>	<p>Organizations should have a plan to address and mitigate identified vulnerabilities for the ground segment. This may be part of a wider vulnerability management plan that covers the entire organization.</p>	<p><a href="#">[CISA-CIVR-PB]</a> Appendix A <a href="#">[NIST-SP800-53r5]</a> RA-1, RA-3, RA-5, SI-2</p>
<p><b>Protect: Maintenance Category</b></p> <p>Maintenance and repairs of industrial control and information system components are performed consistent with policies and procedures. The ground segment may have to perform maintenance tasks on behalf of space vehicles, and the ground segment should consider that in its maintenance and repair activities.</p>		
<p><b>PR.MA-1:</b> Maintenance and repair of organizational assets are performed and logged, with approved and controlled tools</p>	<p>An approved suite of tools should be put in place to control the configuration, planning and maintenance of assets, including consideration for automated discovery and policy compliance checks. Due to the nature of such tools, they may generate new vulnerabilities in the system and should be subject to controls, assessment, and monitoring.</p> <p>Organizations should schedule, perform, record, and review records of maintenance and repairs for the ground segment.</p> <p>As part of that review, organizations should assess the impacts of the maintenance and repair to the MOC and PCC devices and components on the satellite bus and payload operations, and verify that their performance is within specified tolerances.</p> <p>To facilitate proper maintenance, organizations should make available and require adherence to documentation and artifacts, such as software maintenance procedures, configuration parameters (including default values and ranges), test plans, compliance test result documentation, and other pertinent information to ensure consistent and valid operations.</p>	<p><a href="#">[NIST-SP800-53r5]</a> MA-1, MA-2, MA-3, MA-5, MA-6</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>PR.MA-2:</b> Remote maintenance of organizational assets is approved, logged and performed in a manner that prevents unauthorized access</p>	<p>Since remote maintenance is especially applicable to the ground segment, organizations should implement procedures to ensure that remote maintenance is performed in a controlled and secure manner.</p> <p>The space segment contains high-value assets that are physically inaccessible and mostly receive maintenance through the ground segment. Organizations should consider enhanced protections for remote maintenance in these circumstances, including enhanced protection of communications, strict access control, and logging actions. Additional security measures such as end to end encryption and authorized command protections may be warranted for maintenance communications due to potential confidentiality and integrity needs when on-board software updates are made, but it is not appropriate for the MOC/PCC to have read access at all levels.</p>	<p><a href="#">[NIST-SP800-53r5]</a> MA-4 <a href="#">[NIST-SP800-160V1]</a> Appendix F.1.14</p>
<p><b>Protect: Protective Technology Category</b></p> <p>Technical security solutions are managed to ensure the security and resilience of systems and assets consistent with related policies, procedures, and agreements.</p>		
<p><b>PR.PT-1:</b> Audit/log records are determined, documented, implemented and reviewed in accordance with policy.</p>	<p>The ground segment has responsibility for maintaining audit/log records for both the ground and space segments.</p> <p>Due to the spatial environment, there can be significant implications to any incident, whether cyber or physical.</p> <p>In addition to developing practices and procedures for Audit/Log of the ground segment’s IT infrastructure, organizations should also have logging procedures for:</p> <ul style="list-style-type: none"> <li>• TT&amp;C data,</li> <li>• chains of events as required by regulations, and</li> <li>• any data related to repositioning or on-orbit anomalies.</li> </ul> <p>Wherever practical, logging and audit mechanisms should produce data elements in accordance with standard data formats to facilitate parsing and consumption by analytic teams.</p> <p>Logging all events may not be practical, and cybersecurity events may be determined by other operational event logging, therefore Audit logging should be informed by risk, organizational needs, risk tolerance and industry best practices.</p> <p>Organizations should consider maintaining audit logs for extended periods to support forensic analysis. Audit logging should be determined by risk tolerance and tailored by industry best practices.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AU-1, AU-2, AU-3, AU-6, AU-7, AU-12, AU-13, AU-14, AU-16 <a href="#">[NIST-SP800-92]</a> <a href="#">[NIST-SP800-160V1]</a> 3.3.2, 3.3.5</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>PR.PT-2:</b> Removable media is protected and its use restricted according to policy.</p>	<p>Use of removable media in the ground segment can be required for purposes such as cryptographic key loading/rotation, software and firmware updates, or other data transfers for isolated components.</p> <p>Organizations should have policy that clearly defines any restrictions on the use of removable media and lays out the safeguards to enforce the restrictions.</p> <p>Such policies are necessary to protect the physical media and maintain a log of its chain of custody.</p>	<p><a href="#">[NIST-SP800-53r5]</a> MP-1, MP-2, MP-3, MP-4, MP-5, MP-7, MP-8</p>
<p><b>PR.PT-3:</b> The principle of least functionality is incorporated by configuring systems to provide only essential capabilities.</p>	<p>Organizations should configure the ground segment system's hardware and software to only provide essential capabilities.</p> <p>Disabled functionality will minimize attack surfaces and facilitate detection.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-2, AC-3, CM-7</p>
<p><b>PR.PT-4:</b> Communications and control networks are protected.</p>	<p>The MOC and PCC have high availability and integrity requirements.</p> <p>Organizations should consider protection of the communications and control networks throughout the lifecycle. Some controls can only be applied during the architectural phase, while others can be added in the operations or deployment phases.</p> <p>Implementation of some security measures can lead to performance degradation. Organizations should verify that protective measures will not adversely affect overall system performance requirements.</p>	<p><a href="#">[NIST-CSF]</a> PR.PT-P3</p> <p><a href="#">[NIST-SP800-53r5]</a> AC-12, AC-17, AC-18, CP-8, SC-5, SC-7, SC-10, SC-11, SC-20, SC-21, SC-22, SC-23, SC-31, SC-37, SC-38, SC-47</p> <p><a href="#">[NIST-SP800-160V1]</a> Appendix F</p>
<p><b>PR.PT-5:</b> Mechanisms (e.g., failsafe, load balancing, hot swap) are implemented to achieve resilience requirements in normal and adverse situations</p>	<p>The duration that a space vehicle may operate autonomously without communication from the ground segment defines the lower bound of resilience requirements. Stringent resilience requirements may necessitate hot swaps at the MOC and PCC facilities, while cold spares may be sufficient for other organizations.</p> <p>The resilience of other sectors within the critical infrastructure may impact the ground segment. Organizations should consider measures as applicable such as power backup, redundant communications infrastructure, and alternate service providers.</p> <p>Some organizations use mobile ground sites to provide geographic diversity. Measures should be present to mitigate attacks that penetrate the ground segment, including holdover capabilities paired with anomaly detection, features to limit performance degradation, and recovery capabilities.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-7, CP-8, CP-11, CP-12, CP-13, PE-11, PL-8, SC-6, SC-24</p>

### 4.3. Detect Function

The Detect Function addresses the development and deployment of appropriate activities to monitor for anomalous events and notify users and applications upon their occurrence. The Detect Function is informed by the Identify Function and is enabled by the Protect Function.

The objectives of the Detect Function include:

- enabling detection through monitoring and consistency checking; and
- establishing a process for deploying detection capabilities and handling/disposition of detected anomalies and events.

The Detect Function may leverage capabilities such as automation and Security Information and Event Management (SIEM) to assist in detecting previously uncovered threats and minimize false positives. These capabilities involve data parsing, analytics, and sharing of information. If practical, comply with standards-based solutions for data formatting, message formatting, and message transmission to facilitate interoperability, integration, and sharing.

The Detect Function defines three Categories, all of which have Subcategories that apply to the ground segment to varying degrees, as summarized in Table 3.

**Table 3.** Baseline Profile for the Detect Function

Subcategory	Applicability to the Ground Segment	References
<p><b>Detect: Anomalies and Events Category</b></p>		
<p>Anomalous activity is detected, and the potential impact of events is understood.</p>		
<p><b>DE.AE-1:</b> A baseline of network operations and expected data flows for users and systems is established and managed.</p>	<p>Organizations should verify that operational performance baselines and expected data flows are captured, developed, and maintained to detect events.</p> <p>Due to the connected nature of the ground and space segments, this baseline may include space segment as well as ground.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-4, CA-3, CM-2, SC-16, SI-4</p>
<p><b>DE.AE-2:</b> Detected events are analyzed to understand attack targets and methods.</p>	<p>Organizations should review and analyze detected events within the ground segment to accomplish the following:</p> <ol style="list-style-type: none"> <li>1. Forensically understand the characteristics of anomalous events; and</li> <li>2. Maintain authorized operations.</li> </ol> <p>Organizations should be able to distinguish between potentially harmful events and normal operations, and predict harm based on early indications and events.</p> <p>When organizations see events that affect the space vehicles, they should do analysis to consider whether MOC and PCC systems are involved.</p> <p>Organizations should have procedures to preserve the raw data, analysis, and characterization to aid in the analysis of future events.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AU-6, CA-7, IR-4, RA-5, SI-4</p> <p><a href="#">[NIST-SP800-128]</a></p> <p><a href="#">[RTCA-DO-235]</a> 2.1</p>

Subcategory	Applicability to the Ground Segment	References
	Organizations should understand that the ground segment has responsibility to analyze events on behalf of the space segment.	
<b>DE.AE-3:</b> Event data are collected and correlated from multiple sources and sensors	<p>Organizations can use multiple sensors and sources to correlate events, cross-check detected anomalies, and contribute to anomaly detection models and algorithms.</p> <p>Organizations should compile event data across the ground segment using various sources, such as event reports, logs, audit monitoring, network monitoring, physical access monitoring, environmental monitoring, and human-machine interface (HMI) reports.</p> <p>Organizations should consider the inclusion of events from external and shared resources such as open source, industry forums, user groups, etc.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AU-6, CA-7, CP-2, IR-4, IR-5, IR-8, SI-4</p> <p><a href="#">[NIST-SP800-160V1]</a> 3.3.7, Appendix G.2, Appendix G.3</p> <p><a href="#">[RTCA-DO-235]</a> 1.1</p> <p><a href="#">[Space-ISAC]</a></p>
<b>DE.AE-4:</b> Impact of events is determined	<p>Organizations should have procedures to identify the impact of events.</p> <p>Events (including infrequent events and true anomalies) can have unexpected impacts on connected devices and operations. In addition to the impact of events on the ground segment, organizations should also consider any potential impacts to the space segment.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-2, IR-3, IR-4, IR-5, IR-8, SI-4</p>
<b>DE.AE-5:</b> Incident alert thresholds are established	<p>Organizations should establish incident thresholds with the understanding of potential impacts to both the ground segment and the space segment (where indicated).</p> <p>Attributes such as criticality, sensitivity, and tolerance to false positives will vary amongst stakeholders. Discussions regarding the setting and review of thresholds may need to include external stakeholders.</p> <p>For critical applications, organizations can document error and uncertainty tolerances that serve as detection thresholds. These thresholds can be expressed as a statistical distribution within the confidence levels needed for operations.</p> <p>Organizations should consider and document the required notification or alarm communication time upon nearing and exceeding thresholds.</p> <p>Organizations should review these thresholds periodically.</p>	<p><a href="#">[NIST-SP800-53r5]</a> IR-4, IR-5, IR-8</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>Detect: Security Continuous Monitoring Category</b></p> <p>The information system and assets are monitored to identify cybersecurity events and verify the effectiveness of protective measures. The granularity of the monitoring and the depth of the analysis is consistent with the findings of the risk assessment (refer to ID.RA-1 through ID.RA-5). In the context of the ground segment, this category covers the interface to the bus or payload; the receivers that process and form the commands; responses and telemetry; the processed telemetry; and state of health information from the space segment.</p>		
<p><b>DE.CM-1:</b> The network is monitored to detect potential cybersecurity events</p>	<p>Space Vehicles are physically inaccessible and SWaP constrained, therefore consider network monitoring as an integrated whole.</p> <p>Organizations should monitor the bus and payload TT&amp;C in addition to the network activity within the ground segment. Attention to the TT&amp;C enables the ground segment to detect attacks on behalf of the space segment and anomalies in the TT&amp;C may provide insight on attacks to the ground segment..</p> <p>System monitoring activities should be heightened when there is an indication of increased risk.</p> <p>Organizations should correlate data from diverse sensors and probes, including using fault detection and exclusion algorithms to automatically detect faults and exclude erroneous sources in the analytics. These actions enable redundancy and consistency checking.</p> <p>Organizations should verify that the monitoring strategy is sufficiently robust to detect space and ground segment behavior anomalies for all identified fault and failure modes. Detection thresholds should be determined from nominal and anomalous historical data for each fault and failure mode.</p> <p>Detection models can leverage correlations between fault modes and minimum detectable limits. Analysis of the correlation engines may be able to determine if some faults can remain undetected. These findings can then be used in the risk management procedures.</p> <p>As RF transmissions are critical to space operations, organizations should have a continuous monitoring program for identifying and responding to interfering and potentially hostile RF emanations. Software and hardware can be integrated into the ground segment to detect and mitigate jamming and spoofing events to preserve data availability and integrity.</p>	<p><a href="#">[NIST-IR7800]</a></p> <p><a href="#">[NIST-SP800-53r5]</a> AU-12, CA-7, CM-3, SC-5, SC-7, SI-4</p> <p><a href="#">[RTCA-DO-235]</a> 2.3, 2.5</p>
<p><b>DE.CM-2:</b> The physical environment is monitored to detect potential cybersecurity events</p>	<p>Organizations should monitor physical access to ground segment facilities to detect potential breaches in security.</p> <p>Because of the reliance on the RF environment, organizations should also monitor the RF environment for interfering or potentially hostile emanations.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CA-7, PE-6, PE-20</p>

Subcategory	Applicability to the Ground Segment	References
	<p>Ground segment equipment such as antennas and alternate facilities may be in remote locations, and near-real-time physical monitoring of remote sites can be challenging. Organizations can consider technologies that generate alerts in real time as well as require periodic physical inspections of remote sites.</p> <p>Other controls that organizations should consider are ones that positively identify people who access these remote areas (use of biometrics, swipe cards, and PINs).</p>	
<p><b>DE.CM-3:</b> Personnel activity is monitored to detect potential cybersecurity events</p>	<p>Organizations should monitor personnel actions for unauthorized or atypical activity. The scope of the monitoring can include elements such as login attributes (e.g., time, physical location, operating system, device, credentials), electronic access control systems, physical access control systems (e.g., sign in/out sheets, logging), and security status monitoring of personnel.</p> <p>Since unauthorized personnel activity at the ground segment can affect both the ground and space segments, organizations should have access and monitoring controls in place for actions that can affect both segments.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-2, AU-12, AU-13, CA-7, CM-10, CM-11</p>
<p><b>DE.CM-4:</b> Malicious code is detected</p>	<p>Given the importance of least functionality within the ground segment, organizations should have controls to ensure that all code that is not authorized and verified is detected.</p> <p>Due to the SWaP constraints within the space vehicles, it may be impractical for the space vehicle to detect malware within itself. Therefore, organizations should consider measures to enable the ground segment to detect malicious code across the space segment (e.g., by interrogating traffic going to/from the satellite for signs of malware).</p>	<p><a href="#">[NIST-SP800-53r5]</a> SC-44, SI-3, SI-4, SI-8</p> <p><a href="#">[NIST-SP800-218]</a></p>
<p><b>DE.CM-5:</b> Unauthorized mobile code is detected</p>	<p>Given the importance of least functionality, organizations should consider detection of all mobile code to ensure that it has been approved. This control is especially germane to organizations that have adopted cloud-based infrastructure.</p>	<p><a href="#">[NIST-SP800-53r5]</a> SC-18, SC-44, SI-4</p>
<p><b>DE.CM-6:</b> External service provider activity is monitored to detect potential cybersecurity events</p>	<p>Connections to the MOC or PCC are strictly controlled and, in most cases, least privilege principles (restricted access, limited connectivity, etc.) should be enacted.</p> <p>Organizations that implement third-party suppliers or services such as cloud-based infrastructures should monitor and analyze the activity to verify that it is in accordance with pre-defined agreements. (See PR.AT-3)</p> <p>Data flows should be encrypted (with independent key management). However, because of the encryption, strong analysis tools like deep packet inspection may not be possible, so data flows can only be superficially</p>	<p><a href="#">[NIST-SP800-53r5]</a> CA-7, PS-7, SA-4, SA-9, SI-4</p>

Subcategory	Applicability to the Ground Segment	References
	<p>monitored. Therefore, these communications may require alternative analytics.</p> <p>Flows that are associated with custom protocols and specifications will provide a similar challenge to analyze and may require additional consideration.</p>	
<p><b>DE.CM-7:</b> Monitoring for unauthorized personnel, connections, devices and software is performed</p>	<p>Remote access should also be granted under the principles of least functionality, least privilege, and separation of duties. Organizations should monitor for system discrepancies from inventory and conduct ongoing security status monitoring on ground systems for unauthorized personnel, connections, devices, access points, and software.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AU-12, CA-7, CM-3, CM-8, PE-6, PE-20, SI-4</p>
<p><b>DE.CM-8:</b> Vulnerability scans are performed</p>	<p>Organizations should conduct vulnerability scans on ground systems where safe, feasible, and in a manner that is consistent with industry best practices and the organization’s risk tolerance. Organizations should ensure that scanning activities are pre-defined and do not impact operations.</p> <p>Organizations could also consider ground segment technologies and measures to perform vulnerability analysis on the space segment. The concept of vulnerability scans on the space vehicle is under development. If practical to do so, organizations may perform the scans on a test system rather than the space segment itself. (See PR.DS-7)</p>	<p><a href="#">[NIST-SP800-53r5]</a> RA-5 <a href="#">[NIST-SP800-115]</a></p>

**Detect: Detection Processes Category**

Detection processes and procedures are maintained and tested to ensure awareness of anomalous events. In the context of the ground segment, the process and procedures related to the information systems and assets as well as the analytic processes and procedures are maintained, updated, and tested.

<p><b>DE.DP-1:</b> Roles and responsibilities for detection are well defined to ensure accountability</p>	<p>All roles including data collection, analytics, reporting, and notification are identified and performance criteria are defined. PCCs responsible for hosted payloads should have an agreement on these roles and responsibilities with the host’s MOC.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CA-2, CA-7, PM-14</p>
<p><b>DE.DP-2:</b> Detection activities comply with all applicable requirements</p>	<p>Organizations should confirm that their detection activities comply with applicable requirements.</p> <p>Organizations with MOCs responsible for hosting third-party payloads should perform detection activities in accordance with pre-defined agreements for hosted payloads.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AC-1, AT-1, AU-1, CA-1, CA-2, CA-7, CM-1, CP-1, IA-1, IR-1, MA-1, MP-1 PE-1, PL-1, PM-1, PM-14, PS-1, PT-1, RA-1, SA-1, SC-1, SI-1, SI-4, SR-1, SR-9, SR-10</p>
<p><b>DE.DP-3:</b> Detection processes are tested</p>	<p>Organizations should validate that event detection processes are operating as intended and within pre-defined thresholds that include false positives and efficacy of the detection (e.g., false negatives).</p>	<p><a href="#">[NIST-SP800-53r5]</a> CA-2, CA-7. PM-14, SI-3, SI-4</p>

Subcategory	Applicability to the Ground Segment	References
	<p>Detection processes should be re-validated when the ground system is upgraded or modified for the collection of the correct data elements as well as with end-to-end testing.</p> <p>Organizations should perform periodic testing to verify the performance of the detection process against the most current threat profiles and vulnerabilities.</p>	
<p><b>DE.DP-4:</b> Event detection information is communicated</p>	<p>Organizations should consider sharing of detected information with regional Computer Emergency Response Teams (CERTs) or industry organizations such as the Space ISAC. MOCs with buses that host (or PCCs that are hosted by an independent organization) may have pre-arranged information sharing agreements.</p>	<p><a href="#">[NIST-SP800-53r5]</a> AU-6, CA-2, CA-7, RA-5, SI-4 <a href="#">[Space-ISAC]</a></p>
<p><b>DE.DP-5:</b> Detection processes are continuously improved</p>	<p>Organizations should modify and improve the monitoring strategy as new fault modes are identified.</p> <p>Periodically, the organizations should examine their anomaly detection processes and determine if improvements are needed. Tools, techniques, and procedures should be kept current (e.g., updated signatures, intelligence).</p> <p>Organizations can consider the use of technology such as machine learning detection capabilities, in tandem with proactive threat hunting based on pre-built queries, to reduce false positives, improve detection, and assist in response.</p> <p>Organizations should reevaluate the processes as the space segment ages to ensure sufficient robustness.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CA-2, CA-7, PL-2, PM-14, RA-5, SI-4</p>

#### 4.4. Respond Function

The activities in the Respond Function support the ability to contain the impact of an incident by developing and implementing the appropriate activities to respond to a detected cybersecurity attack or anomalous incident.

The Respond Function actions are triggered by the outputs generated by the Detect Function. The Protect Function provides the ability for the Respond Function to execute the proper response to an event according to a pre-defined plan.

The objectives of the Response Function are to:

- contain events using a verified response procedure;
- communicate the occurrence and impact of the event on satellite operations and stakeholders;
- develop processes to respond to and mitigate new known or anticipated threats or vulnerabilities; and

- evolve response strategies and plans based on lessons learned.

The Respond Function within the Cybersecurity Framework defines five Categories, as summarized in Table 4.

**Table 4. Baseline Profile for the Respond Function**

Subcategory	Applicability to the Ground Segment	References
<p><b>Respond: Response Planning Category</b></p>		
<p>Response processes and procedures are executed and maintained after detected cybersecurity incidents.</p>		
<p><b>RS.RP-1:</b> Response plan is executed during or after an incident.</p>	<p>Organizations should execute a response plan during or after a cybersecurity event that impacts space systems in accordance with the pre-defined threshold.</p> <p>Organizations should document the steps and results of the response plans as they are being executed. It is best to include resilience-level requirements based on criticality and impact categories for incidents.</p> <p>Organizations should update the response plans to address changes to the organization.</p>	<p><a href="#">[CISA-CIVR-PB]</a> Appendix B</p> <p><a href="#">[NIST-SP800-53r5]</a> CP-2, CP-10, IR-4, IR-8</p>
<p><b>Respond: Communications Category</b></p>		
<p>Response activities are coordinated with internal and external stakeholders. In the context of the ground segment, external stakeholders may include organizations with payloads that are hosting (or being hosted by) independent organizations.</p>		
<p><b>RS.CO-1:</b> Personnel know their roles and order of operations when a response is needed</p>	<p>Organizations should ensure that personnel know, are trained, and have exercised their roles in response to disruptions.</p> <p>Responders should understand recovery time objectives (RTO), recovery point objectives (RPO), restoration priorities, task sequences, and assignment responsibilities for event response programs and processes in a manner that is consistent with business continuity objectives.</p>	<p><a href="#">[NIST-SP800-34r1]</a> 3.2.1</p> <p><a href="#">[NIST-SP800-53r5]</a> CP-2, CP-3, IR-3, IR-8</p> <p><a href="#">[NIST-SP800-61r2]</a></p>
<p><b>RS.CO-2:</b> Incidents are reported consistent with established criteria</p>	<p>Organizations should ensure that cybersecurity events that exceed a predetermined threshold are reported in a manner that is consistent with the response plan and will initiate the response plan in a timely manner.</p>	<p><a href="#">[DHS-GPS-PR]</a></p> <p><a href="#">[NERC-CIP-008-6]</a></p> <p><a href="#">[NIST-SP800-53r5]</a> AU-6, IR-6, IR-8</p> <p><a href="#">[NIST-SP800-61r2]</a> 4</p>
<p><b>RS.CO-3:</b> Information is shared consistent with response plans</p>	<p>Timely information exchange within organizations improves the overall efficiency of incident response.</p> <p>Organizations should exchange information with external stakeholders in accordance with pre-arranged agreements and thresholds to ensure obligations are met. (See ID.GV-2 and DE.AE-5)</p> <p>Organizations should coordinate appropriately with law enforcement officials where applicable. Sharing</p>	<p><a href="#">[FCC-JAMMER]</a></p> <p><a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, IR-8</p> <p><a href="#">[NIST-SP800-61r2]</a> 2.4</p>

Subcategory	Applicability to the Ground Segment	References
	information with consortia focused on space missions or regulatory bodies will enhance space situational awareness.	
<b>RS.CO-4:</b> Coordination with stakeholders occurs consistent with response plans	If the satellite hosts third-party payloads, incidents that impact satellite bus operations should be reported to the stakeholders in accordance with the response plan and pre-arranged agreements with the PCC. (See ID.GV-4)	<a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, IR-8, PE-6 <a href="#">[NIST-SP800-61r2]</a> 2.4
<b>RS.CO-5:</b> Voluntary information sharing occurs with external stakeholders to achieve broader cybersecurity situational awareness	Suspected intentional interference should be reported to stakeholders through the appropriate channels and procedures. For example, suspected land-based radio frequency interference (RFI) can be reported to NAVCEN, NASA Aviation Safety Reporting System for aeronautics.  When agreed upon between stakeholders, common data formats facilitate information sharing to strengthen the protection of the user community.	<a href="#">[NIST-SP800-53r5]</a> PM-15, PM-16, SI-5
<b>Respond: Analysis Category</b>		
Analysis is conducted to verify the efficacy of the response and support recovery activities.		
<b>RS.AN-1:</b> Notifications from detection systems are investigated	Organizations should investigate cybersecurity-related notifications generated from the anomaly detection systems.  The investigation of RFI may involve, and in some cases require, notification of external agencies. If public safety is impacted, the RFI should be reported to the Federal Communications Commission (FCC) or other authoritative body and if applicable to state and local authorities. Commercial owners and operators may report RFI to the Purposeful Interference Response Team (PIRT) which is an interagency organization within the U.S. Government to facilitate U.S. collaboration to attribute and resolve satellite interference.	<a href="#">[CISA-CIVR-PB]</a> 10 <a href="#">[CISA-RFI-BPG]</a> <a href="#">[NIST-SP800-53r5]</a> AU-6, CA-7, IR-4, IR-5, PE-6, RA-5, SI-4 <a href="#">[RTCA-DO-235]</a> 14.1.2
<b>RS.AN-2:</b> The impact of the incident is understood	Within the ground segment, organizations should develop an understanding of the full implications of a cybersecurity incident based on thorough investigation and analysis results.  Organizations should understand impacts that may affect the space segment and/or third-party stakeholders (in the case of a MOC that hosts third-party payloads) or the end-user community.	<a href="#">[CISA-CIVR-PB]</a> 10 <a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, RA-3 <a href="#">[NIST-SP800-61r2]</a> 3
<b>RS.AN-3:</b> Forensics are performed	Organizations should conduct forensic analysis on collected cybersecurity event information to determine if there are any residual effects to the system.  Conducting a forensic analysis can aid in the determination of the root cause.	<a href="#">[CISA-CIVR-PB]</a> 16 <a href="#">[NIST-SP800-53r5]</a> AU-7, IR-4 <a href="#">[NIST-SP800-61r2]</a> 3

Subcategory	Applicability to the Ground Segment	References
<b>RS.AN-4:</b> Incidents are categorized consistent with response plans	Organizations should categorize cybersecurity incidents according to the level of severity and impact consistent with the response plan. Such categorization may include impacts on the space segment.	<a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, IR-5, IR-8, RA-3 <a href="#">[NIST-SP800-61r2]</a> 2, 3.2
<b>RS.AN-5:</b> Processes are established to receive, analyze and respond to vulnerabilities disclosed to the organization from internal and external sources (e.g. internal testing, security bulletins, or security researchers)	Organizations should establish processes for responding to vulnerabilities disclosed to the organizations. These processes are especially important when the vulnerability affects systems that interface with the space segment.	<a href="#">[DHS-NCCIC]</a> <a href="#">[GPS-ICD-240]</a> 7.6, 7.7 <a href="#">[NIST-SP800-53r5]</a> CA-1, CA-2, PM-4, PM-15, RA-1, RA-7, SI-5, SR-6 <a href="#">[NIST-SP800-61r2]</a> 3, 3.2 <a href="#">[NIST-SP800-160V1]</a> 3.4.9, 3.4.11
<p><b>Respond: Mitigation Category</b></p> <p>Activities are performed to contain an event, mitigate its effects, and resolve the incident.</p>		
<b>RS.MI-1:</b> Incidents are contained	<p>Organizations should contain cybersecurity incidents to minimize impacts on the ground segment. Containment may require transition to alternate sites and isolation of the primary MOC in accordance with resiliency-level requirements and the business continuity plan for containment.</p> <p>Containment may also involve rapidly zeroizing processing equipment containing sensitive data. Some organizations have remote assets that are in vulnerable locations and operators may need to quickly disable equipment.</p> <p>Organizations should have processes in place to enable security orchestration automated response (SOAR) capabilities to reduce time to respond to active threats using machine learning.</p>	<a href="#">[CISA-CIVR-PB]</a> 14 <a href="#">[NIST-SP800-53r5]</a> IR-4 <a href="#">[NIST-SP800-61r2]</a> 3.4.1
<b>RS.MI-2:</b> Incidents are mitigated	<p>Once the effects of the incident are contained, organizations should take steps to return the MOC or PCC to a proper working state. These steps may include the resetting, recalibration, and replacement of units. However, these actions should be done in a manner that does not impact forensic efforts.</p> <p>Organizations should apply patches and updates to mitigate the vulnerability if needed.</p> <p>Organizations should also consider mitigation strategies such as redundancy, diversity, and segmentation to minimize the impacts of disruptions.</p>	<a href="#">[NIST-SP800-53r5]</a> IR-4 <a href="#">[NIST-SP800-61r2]</a> 3.4

Subcategory	Applicability to the Ground Segment	References
<b>RS.MI-3:</b> Newly identified vulnerabilities are mitigated or documented as accepted risks	When new vulnerabilities are identified, risk assessments (refer to the Identify: Risk Assessment Category) should be updated. Organizations should then mitigate or document acceptable risks.	<a href="#">[NIST-SP800-53r5]</a> CA-2, CA-7, RA-3, RA-5, RA-7 <a href="#">[NIST-SP800-61r2]</a> 3 <a href="#">[RTCA-DO-235]</a> 3.8, 14.1.4, 14.2-14.4
<p><b>Respond: Improvements Category</b></p> <p>This category is a post-incident analysis activity that involves other functions within the Cybersecurity Framework. Organizational response activities are improved by incorporating lessons learned from current and previous detection and response activities.</p>		
<b>RS.IM-1:</b> Response plans incorporate lessons learned	Response plans incorporate lessons learned from ongoing incident handling activities into incident response procedures, training, and testing. Organizations should keep plans updated and implement the resulting changes accordingly.	<a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, IR-8 <a href="#">[NIST-SP800-61r2]</a>
<b>RS.IM-2:</b> Response strategies are updated	Organizations should do the following: <ul style="list-style-type: none"> <li>• Enable an update process for the response plan to consider new threats, improved technology, and lessons learned.</li> <li>• Analyze detected event information and incident responses to reassess the impact of future incidents to the organization. If appropriate, update the risk assessment and risk response.</li> <li>• Determine preventative actions for fault modes by reviewing the identification, protection, and detection functions and updating as applicable.</li> <li>• Revise protection, monitoring, detection, response, and recovery capabilities as needed to mitigate newly identified vulnerabilities in a timely manner.</li> </ul> Organizations may have automated processes in place to enable SOAR capabilities to reduce response time. Organizations should evaluate and revise these processes as a result of the lessons learned from the incident.	<a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, IR-8

#### 4.5. Recover Function

The Recover Function develops and implements the appropriate activities to maintain resilience and restore any capabilities or services that were impaired due to a cybersecurity event.

The activities in the Recover Function support timely recovery to normal operations and return the organization back to its proper working state after an incident has occurred. The effectiveness of the Recover Function is dependent upon the implementation of the previous Functions—Identify, Protect, Detect, and Respond.

The objectives of the Recover Function are as follows:

- restore the ground segment’s services to a proper working state using a verified recovery procedure so that systems dependent on those services can function properly;
- communicate the recovery activities and status of the ground segment services to stakeholders; and
- evolve recovery strategies and plans based on lessons learned.

The Recover Function within the Cybersecurity Framework defines three Categories, which are summarized in Table 5.

**Table 5.** Baseline Profile for the Recover Function

Subcategory	Applicability to the Ground Segment	References
<p><b>Recover: Recovery Planning Category</b></p> <p>Recovery processes and procedures are executed and maintained to restore systems or assets affected by cybersecurity incidents to a proper working state. Recovery plans are typically a part of the business continuity plan.</p>		
<p><b>RC.RP-1:</b> Recovery plan is executed during or after a cybersecurity incident.</p>	<p>Organizations should restore the ground segment system within a pre-defined, acceptable time period from configuration-controlled and integrity-protected information representing a known good state for the components.</p> <p>Organizations should perform system acceptance testing.</p> <p>The recovery plan can include specific actions for restoration, recalibration, resetting, and test validation of equipment.</p>	<p><a href="#">[NIST-SP800-34r1]</a>  <a href="#">[NIST-SP800-53r5]</a> CP-10, IR-4, IR-8  <a href="#">[NIST-SP800-160V1]</a> 3.4.11, Appendix F.2.6  <a href="#">[NIST-SP800-184]</a></p>
<p><b>Recover: Improvements Category</b></p> <p>Recovery planning and processes are improved by incorporating lessons learned into future activities. In the context of the ground segment, the efficacy of the recovery actions, such as restoring control of the space segment, test plans, user notification, and failover, are evaluated and improved should a similar event occur.</p>		
<p><b>RC.IM-1:</b> Recovery plans incorporate lessons learned.</p>	<p>Organizations should update the recovery plan to incorporate lessons learned, reflect new threats, improve technology, and address changes to the organization, operating environment, and deficiencies encountered during plan implementation, execution, and testing.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, IR-8  <a href="#">[NIST-SP800-61r2]</a> 3.4</p>
<p><b>RC.IM-2:</b> Recovery strategies are updated.</p>	<p>Organizations should evaluate the incident’s characteristics and impact to determine if the recovery strategy was sufficient or appropriate (i.e., proportional to the impact) and revise the recovery strategy and corresponding plan accordingly.</p>	<p><a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4, IR-8  <a href="#">[NIST-SP800-61r2]</a> 3.4, 3.4.1</p>

Subcategory	Applicability to the Ground Segment	References
<p><b>Recover: Communications Category</b></p> <p>Restoration activities are coordinated with internal and external parties. In the context of the ground segment, external parties may include partners that host (or are hosting) a third-party payload. Restoration activities can include corrections for anomalies, calibrations, verification, and validation procedures.</p>		
<p><b>RC.CO-1:</b> Public relations are managed.</p>	<p>The organization should consider putting procedures in place for technical support of the public relations function in the case of a cybersecurity incident.</p>	<p><a href="#">[NIST-SP800-34r1]</a> 4  <a href="#">[NIST-SP800-53r5]</a> IR-4  <a href="#">[NIST-SP800-184]</a> 2.4</p>
<p><b>RC.CO-2:</b> Reputation is repaired after an incident.</p>	<p>The organization should consider putting procedures in place for technical support of the public relations function in the case of a cybersecurity incident to repair the organization's reputation.</p>	<p><a href="#">[NIST-SP800-53r5]</a> IR-4  <a href="#">[NIST-SP800-184]</a></p>
<p><b>RC.CO-3:</b> Recovery activities are communicated to internal and external stakeholders as well as executive and management teams.</p>	<p>Organizations should communicate recovery activities to all relevant internal and external stakeholders, executive teams, and management teams.</p>	<p><a href="#">[NIST-SP800-34r1]</a>  <a href="#">[NIST-SP800-53r5]</a> CP-2, IR-4  <a href="#">[NIST-SP800-184]</a></p>

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## Appendix A. List of Abbreviations and Acronyms

Selected acronyms and abbreviations used in this document are defined below.

**API**

Application Programming Interface

**ASMS**

Advanced Satellite Multimedia Systems Conference

**ATIS**

Alliance for Telecommunications Industry Solutions

**BCP**

Best Current Practice

**C2**

Command and Control

**CCSDS**

The Consultative Committee for Space Data Systems

**CERT**

Computer Emergency Response Team

**CISA**

Cybersecurity and Infrastructure Security Agency

**CNSS**

Committee on National Security Systems

**CNSSI**

Committee on National Security Systems Instruction

**COMSAT**

Communications Satellite

**COMSEC**

Communications Security

**COTS**

Commercial Off the Shelf

**C&S**

Control and Status

**DHS**

Department of Homeland Security

**DIA**

Defense Intelligence Agency

**DoD**

Department of Defense

**DSS**

Direct-Sequence Spread Spectrum

**FCC**

Federal Communications Commission

**FIPS**

Federal Information Processing Standards

**FOIA**

Freedom of Information Act

**GPS**

Global Positioning System

**GPS SE&I**

Global Positioning System Systems Engineering & Integration

**GSA**

General Services Administration

**HMI**

Human-Machine Interface

**HSR**

High-Availability Seamless Redundancy

**IaaS**

Infrastructure as a Service

**IEC**

International Electrotechnical Commission

**IETF**

Internet Engineering Task Force

**IR**

Interagency or Internal Report

**ISAC**

Information Sharing and Analysis Center

**ISCM**

Information Security Continuous Monitoring

**ISO**

International Organization for Standardization

**IT**

Information Technology

**ITL**

Information Technology Laboratory

**ITU-T**

International Telecommunication Union International Telecommunications Standardization Sector

**MOC**

Mission Operations Center

**NASA**

National Aeronautics and Space Administration

**NASIC**

National Air and Space Intelligence Center

**NCCIC**

National Cybersecurity and Communications Integration Center

**NERC**

North American Electric Reliability Corporation

**NIST**

National Institute of Standards and Technology

**NIST IR**

National Institute of Standards and Technology Interagency or Internal Report

**NTPv4**

Network Time Protocol Version 4

**PCC**

Payload Control Center

**PIN**

Personal Identification Number

**PIRT**

Purposeful Interference Response Team

**PNT**

Positioning, Navigation, and Timing

**PPD**

Presidential Policy Directive

**PRP**

Parallel Redundancy Protocol

**RF**

Radio Frequency

**RFC**

Request for Comments

**RFI**

Radio Frequency Interference

**RMF**

Risk Management Framework

**RPO**

Recovery Point Objectives

**RTCA**

Radio Technical Commission for Aeronautics

**RTO**

Recovery Time Objectives

**SBOM**

Software Bill of Materials

**SIEM**

Security Information and Event Management

**SOAR**

Security Orchestration Automated Response

**SP**

Special Publication

**SPD**

Space Policy Directive

**SPSC**

Signal Processing for Space Communications Workshop

**SSDF**

Secure Software Development Framework

**SWaP**

Size, Weight, and Power

**TCP**

Transmission Control Protocol

**TESLA**

Timed Efficient Stream Loss-Tolerant Authentication

**TPI**

Two-Person Integrity

**TPM**

Trusted Platform Module

**TRANSEC**

Transmission Security

**TT&C**

Telemetry, Tracking, and Command

**U.S.**

United States

**USG**

United States Government

## Appendix B. Glossary

Selected terms used in this document are defined below.

### **attack**

Any kind of malicious activity that attempts to collect, disrupt, deny, degrade, or destroy information system resources or the information itself. [\[CNSSI-4009\]](#)

### **bus**

The primary spacecraft structure containing power, temperature control, and directional thrusters of the satellite that provides locations for the payloads. [\[NASA-smallsat\]](#)

### **calibration**

A comparison between a device under test and an established standard, such as Coordinated Universal Time UTC (NIST). When the calibration is finished, it should be possible to state the estimated time offset and/or frequency offset of the device under test with respect to the standard, as well as the measurement uncertainty. Calibrations can be absolute or relative. Absolute calibrations are not biased by the calibration reference and would, therefore, be more reproducible. However, absolute calibrations can be more complex to determine. The bias in relative calibrations would be consistent if all the devices in the system are calibrated against the same calibration reference. Calibrations may also be performed relative to other devices without reference to an absolute standard. Relative calibrations are generally simpler to perform than absolute calibrations. [\[NIST-T&F-Glossary, Adapted\]](#)

### **cloud computing**

A model for enabling ubiquitous, convenient, on-demand network access to a shared pool of configurable computing resources (e.g., networks, servers, storage, applications, and services) that can be rapidly provisioned and released with minimal management effort or service provider interaction. [\[NIST-SP800-145\]](#)

### **communications security**

A component of Information Assurance that deals with measures and controls taken to deny unauthorized persons information derived from telecommunications and to ensure the authenticity of such telecommunications. COMSEC includes cryptographic security, transmission security, emissions security, and physical security of COMSEC material. [\[CNSSI-4009\]](#)

### **component**

A hardware, software, or firmware part or element of a larger system with well-defined inputs and outputs and a specific function. [\[DHS-RCE, Adapted\]](#)

### **confidentiality**

Preserving authorized restrictions on information access and disclosure, including means for protecting personal privacy and proprietary information. [\[FIPS200\]](#)

### **cyber ecosystem**

The aggregation and interactions of a variety of diverse participants (such as private firms, non-profits, governments, individuals, and processes) and cyber devices (computers, software, and communications technologies). [\[DHS-Cyber-Eco, Adapted\]](#)

### **cybersecurity**

Prevention of damage to, protection of, and restoration of computers, electronic communications systems, electronic communications services, wire communication, and electronic communication, including information contained therein, to ensure its availability, integrity, authentication, confidentiality, and nonrepudiation. For example, PNT data is generated by cyber systems. Protection of the devices and systems used to generate PNT data should be considered part of cybersecurity. [\[NIST-SP800-53r5\]](#)

### **integrity**

A measure of the trust that can be placed in the correctness of the information supplied by a PNT service provider. Integrity includes the ability of the system to provide timely warnings to users when the PNT data should not be used. [\[USG-FRP\]](#)

**interference (electromagnetic)**

Any electromagnetic disturbance that interrupts, obstructs, degrades, or otherwise limits the performance of user equipment. [USG-FRP, Appendix E]

**jitter**

The short-term variations of the significant instants of a timing signal from their ideal positions in time (where short-term implies that these variations are of frequency greater than or equal to 10 Hz). [ITU-T-G.810]

**mission operations center**

A facility that provides C2 for the satellite bus, receives TT&C from the satellite, and requests and retrieves data as necessary.

**mobile code**

Software programs or parts of programs obtained from remote information systems, transmitted across a network, and executed on a local information system without explicit installation or execution by the recipient. [CNSSI-4009]

**payload**

Elements of the spacecraft that provide (commercial, scientific, or other) services to end-users. [NASA-smallsat, Adapted]

**payload control center**

A facility that provides C2 for satellite payloads.

**proper working state**

A condition in which the device or system contains no compromised internal components or data fields (e.g., data stored to memory) and from which the device or system can recognize and process valid input signals and output valid PNT solutions. An initial pre-deployment configuration is a basic example. The accuracy of the immediate PNT solution is not specified in this definition, as it will depend on the specifics of the device or system's performance and the degradation allowed by different resilience levels. [DHS-RCF]

**reliability**

The probability of performing a specified function without failure under given conditions for a specified period of time. [USG-FRP]

**remote access**

Access to an organizational information system by a user (or a process acting on behalf of a user) communicating through an external network. [NIST-SP800-53r5]

**resilience**

The ability to prepare for and adapt to changing conditions and withstand and recover rapidly from disruptions. Resilience includes the ability to withstand and recover from deliberate attacks, accidents, or naturally occurring threats or incidents. [PPD-21]

**risk**

A measure of the extent to which an entity is threatened by a potential circumstance or event and typically a function of: (i) the adverse impacts that would arise if the circumstance or event occurs; and (ii) the likelihood of occurrence. [NIST-SP800-37r2]

**risk assessment**

The process of identifying, estimating, and prioritizing risks to organizational operations (including mission, functions, image, and reputation), organizational assets, individuals, other organizations, and the Nation resulting from the operation of an information system. Part of risk management incorporates threat and vulnerability analyses and considers mitigations provided by security controls planned or in place. Synonymous with risk analysis. [NIST-SP800-30r1]

**risk management**

The program and supporting processes to manage information security risk to organizational operations (including mission, functions, image, and reputation), organizational assets, individuals, other organizations, and the Nation,

and includes (i) establishing the context for risk-related activities, (ii) assessing risk, (iii) responding to risk once determined, and (iv) monitoring risk over time. [\[NIST-SP800-39\]](#)

### **Risk Management Framework**

A disciplined and structured process that integrates information security and risk management activities into the system development life cycle. [\[NIST-SP800-37r2\]](#)

#### **secure**

To reduce the risks of intrusions and attacks as well as the effects of natural or human-caused disasters on critical infrastructure by physical means or defensive cyber measures. [\[PPD-21, Adapted\]](#)

#### **two-person integrity**

A system of storage and handling designed to prohibit individual access to certain material by requiring the presence of at least two authorized persons for the task to be performed. [\[CNSSI-4009, Adapted\]](#)

#### **transmission security**

Measures (security controls) applied to transmissions in order to prevent interception, disruption of reception, communications deception, and/or derivation of intelligence by analysis of transmission characteristics such as signal parameters or message externals. Note: TRANSEC is that field of COMSEC which deals with the security of communication transmissions, rather than that of the information being communicated. [\[CNSSI-4009\]](#)

#### **threat**

Any circumstance or event with the potential to adversely impact organizational operations, organizational assets, individuals, other organizations, or the Nation through a system via unauthorized access, destruction, disclosure, modification of information, or denial of service. [\[NIST-SP800-53r5, Adapted\]](#)

#### **validation**

Confirmation (through the provision of strong, sound, and objective evidence and demonstration) that requirements for a specific intended use or application have been fulfilled and that the system, while in use, fulfills its mission or business objectives while being able to provide adequate protection for stakeholder and mission or business assets, minimize or contain asset loss and associated consequences, and achieve its intended use in its intended operational environment with the desired level of trustworthiness. [\[NIST-SP800-160V1, Adapted\]](#)

#### **verification**

The process of producing objective evidence that sufficiently demonstrates that the system satisfies its security requirements and security characteristics with the level of assurance that applies to the system. [\[NIST-SP800-160V1, Adapted\]](#)

#### **vulnerability**

A weakness in an information system, system security procedures, internal controls, or implementation that could be exploited or triggered by a threat source. [\[NIST-SP800-30r1, Adapted\]](#)

## Appendix C. Additional Resources

Aerospace Report No. TOR-2016-01797 Ground Segment Systems Engineering Handbook. August 1, 2016. Available through The Aerospace Corporation, El Segundo, CA 90245.

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